

25 **1. Introduction**

26 The rapid growth in wind power needs fast improvements in technology. Up until now,
27 the industry has seen steady growth and it can be expected that growth show similartrend
28 in the future. The market forecasts that by 2018 the wind energy cumulative gigawatts
29 (GW) will be 43% higher than of 2015 's GW [1]. Given these numbers and the high
30 initial investment needed to build a wind farm, we ask ourselves: "Can we not produce
31 more energy with the same amount of wind turbines?"; "it is possible to increase the
32 efficiency and performance of each wind turbine within an existent wind farm?"

33 Monitoring the state of any industrial process is nowadays an indispensable tool. Early
34 failure detection prevents major faults from occurring, allowing operation and
35 maintenance departments to have accurate information about the machine's operating
36 state. Also, performance improvement is significant when there are efficient maintenance
37 and adequate repair strategies. It is today acknowledge that improvement of the
38 operations and maintenance (O&M) practices can lead to a reduction of 21% and 11% of
39 the life-cycle costs of offshore and inland wind farms [2]. Therefore, studies of novel
40 methods serving the wind farm O&M procedures are extremely important and valuable.

41 With the development of technology wind turbines have increased in size. Consequently,
42 this has also led to a situation where components failures result in high costs. The most
43 important components, which will define the effective production of energy from a wind
44 turbine, are the gearbox and electrical generator. Wind turbine gearboxes handle several
45 megawatt of power, which means that a small efficiency increase can produce energy
46 useful for several more households [3]. Thus, to make wind energy competitive is
47 fundamental to increase gearbox efficiency, availability and reliability, for which is
48 important to quantify the main sources of power loss. Lubrication is a significant issue in
49 gearbox operation since the main power losses sources are friction loss between the
50 meshing teeth [4-6]. As such, monitoring the gearbox oil temperature can be the most
51 effective way to reduce the operational and maintenance costs of these systems and
52 increase their reliability. With good data acquisition (i.e. vibrations and temperature)
53 faults can be detected while components are operating which can lead to the
54 implementation of appropriate and timely actions to prevent damage or failure of the
55 turbine' components [7].

56 Moreover, in order to reduce friction it is fundamental the oil selection to minimize wear
57 on the gear teeth and bearings, allowing optimized behaviour under the external
58 environmental conditions in which the turbine will operate [8]. As such, the selection of
59 a lubricant with appropriate physical properties promotes small no-load losses, which also
60 contributes to decrease the lubricant operating temperature [3,9]. No-load losses are
61 directly related to lubricant viscosity and density, as well as immersion depth of the
62 components on a sump-lubricated gearbox; while no-load rolling bearing losses depends
63 on type and size, arrangement, lubricant viscosity and immersion depth [4]. In addition,
64 transmission losses are primarily due to viscous friction of the gears and bearings turning
65 in oil [10].

66 Intermittent operation, a common situation with wind turbines, can also have a significant
67 impact on the life of a gearbox. When the turbine is not running, oil may drain away from
68 the gears and bearings, resulting in insufficient lubrication when the turbine starts [10-
69 12]. As well, under cold weather, the oil may have too high viscosity until the gearbox
70 has warmed up. Turbines in such environments may benefit by having gearbox oil heaters
71 since condensation of moisture may accelerate corrosion [13-17]. Over the last 2 decades
72 many lessons have been learnt by the industry with the main goal of improving gearboxes
73 reliability, since is one of the most expensive wind turbine sub-assemblies [18-23].

74 The gearbox reached thermal equilibrium when the operating temperature stabilizes, i.e.
75 when the power dissipated inside the gearbox is equal to the heat evacuated from gearbox
76 to the nacelle. The equilibrium temperature is dependent of the gearbox characteristics
77 and of the lubricant properties. A lower stabilization temperature means higher efficiency,
78 lower friction coefficient, smaller oil oxidation and longer oil life [24-25].

79 In general, and for wind industry practitioners, it is important to pay great attention to
80 data farming issues. This means that more precise fault definition and more advanced
81 fault-labelling systems need to be developed so that more informative and useful data can
82 be collected. As result, producers will have access to better and more accurate diagnoses
83 to evaluate the health status of their machines and it productivity [26]. The present paper
84 is focus on the analysis of real data from 12 different wind farms, which are monitored
85 and controlled by a Supervisory Control and Data Acquisition – the SCADA system. The
86 system is composed 93 by sensors or actuators that enable the monitoring and control of
87 geographically dispersed processes. It also allows communication between remote

88 stations and a control centre, providing important data and information for controlling the
89 operating process of the power electrical system. The occurrence of disturbances triggers
90 alarms, which warn operators that the system is in an anomalous situation, permitting
91 operators to intervene from the control centre. The SCADA data analysis methods has
92 been used recently to assess the importance of how wind turbines align in patterns to the
93 wind direction. Revealing itself as useful tool to evaluate wake effects in a wind farm
94 [27]. In present study, the SCADA data is analysed to assess the influence of the oil
95 formulation on energy production, by analysing oil temperatures inside gearboxes (i.e.
96 oil sump). The aim of the paper is to evaluate how lubricant selection affects gearbox
97 efficiency, and its influence on energy production losses.

98

99 **2. Methods**

100 Gearbox oil pressure and oil filter status are related to the gearbox oil pump, the pressure,
101 temperature and lubrication filters. Since temperature is a fundamental parameter in the
102 dynamic behaviour of the oil, conditioning gearbox efficiency and overall wind turbine
103 performance, the SCADA system is programmed to acquire data every 10 minutes of the
104 following parameters: outdoor temperature, temperature of the nacelle, main bearing
105 temperature, gearbox bearing temperature and gearbox oil temperature. The optimum
106 temperature for gearbox oil ranges between 45° C and 65° C. This optimum is ensured by
107 the cooling system (Figure 1). The temperature sensors work as follows: (i) if the
108 temperature at the opening of the thermostatic valve is ~45° C the oil circulates through
109 the heat exchangers, but the fans are not working; (ii) if temperature reaches 62° C both
110 fans of the two exchangers start working; (iii) the fans will turn off when the temperature
111 falls 5° C (i.e. 57° C at the opening of the thermostatic valve); (iv) the temperature to drive
112 the 2nd 120 speed of the mechanical pump is 58° C; and (v) the 2nd 121 pump shuts off
113 when oil temperature reaches again the 48° C. Periodic oil samples are collected on wind
114 turbine gearboxes (i.e. every six months) to assess the state of the oil, as well as to check
115 for signs of internal wear. Thus, if a value is over a certain maximum, the sampling
116 strategy is changed to monitor a given component preventing its failure.

117 Data such as rotational speed, power output, temperature 126 and efficiency from the last
118 6 years was analysed in which regards oil changes and its effect on performance. The
119 three types of oils (A, B and C, hereafter) are within the same viscosity grade (e.g. ISO

120 VG 320), and expected to have a viscosity of ~ 320 cSt at 40°C (Table 1). In order to
121 compare the influence of using different oils inside gearboxes, the SCADA data was first
122 filter to select turbines which: (1) worked with more than 1 or 2 types of oils inside the
123 gearbox; (2) the same amount of working hours; and (3) never had it gearbox replaced.
124 After these restrictions, the sample data reports to four wind turbines located in the Freita
125 Wind Park, North of Portugal (Figure 2, Table 2). The park has 18.4 MW of installed
126 power distributed for 8 Nordex N90/2300 turbines and is property of Iberwind
127 (www.iberwind.com). The annual estimated energy production of these devices is 40
128 GWh, traducing on a reduction of 26.637 ton CO₂ emissions. The collected data was
129 cleaned to ensure that only data obtained during normal operation of the turbine was used
130 i.e. values were excluded from the database under the following circumstances: (1) wind
131 speed is out of the operating range; (2) wind turbine cannot operate because of a fault
132 condition; and (3) turbine is manually shut down or in a test or maintenance operating
133 mode. The filtered datasets were than analysed to evaluate wind energy production
134 efficiency depending of the type of oil used for different periods, applying bins method
135 [28]. The method is a data reduction procedure that groups test data for a certain
136 parameter into wind speed intervals (bins). These interval values are created on the x-
137 axis, y-axis, or both axis (e.g. wind speed versus oil temperature; oil temperature versus
138 active power), by calculating the mean of these intervals for both x and y values. For each
139 bin the number of data sets or samples and their sum are recorded, and the average
140 parameter value within each bin is calculated. In particular, the mean values of the
141 normalized wind speed, gearbox oil temperature and active power were determined using
142 interval bins of 0.5 m/s, 1°C and 1 kW, respectively.

143

144 3. Results

145 Figure 3 shows the oil temperatures changes as function of wind speed for the three
146 analysed oil types before applying the bin method. It can be observed the high number of
147 observations from the SCADA dataset before applying the bin method. Figure 4 shows
148 the results of the bin method for the four analysed wind turbine gearboxes (Wtg) and for
149 the three different oil types (Table 1) i.e. active 159 power curves as a function of velocity
150 (A); oil temperature inside gearboxes as a function of velocity (B); and oil temperature
151 inside gearboxes as a function of active power production (C). As it can be observed,

152 several changes occur on each pair of analyse parameters: as the wind turbine rotate
153 different powers curve are obtained using different oils types (Figure 4A), affecting
154 temperature inside the gearbox which tend to increase as turbine spins faster (Figure 4B).
155 The best lubricant supply is when the gear mesh achieve the lower temperature (Figure
156 4C).

157 In general, what Figure 4 shows is that for the same wind turbine at the same velocity
158 there are different power/efficiency behaviours with similar oil types (B and C, both
159 synthetic) and between an oil of different nature (type A, mineral). For a more detail
160 analysis of the results, each turbine is then analysed independently having into
161 consideration the type of oil and number of oil changes at the four wind turbine gearboxes
162 (Table 2). This is done because two turbines had two oil changes (i.e. Wtg#3, Wtg#7),
163 while the other two (i.e. Wtg#4, Wtg#6) were subjected to an additional one.

164 On Figure 5A it can be observed significant differences in the use of different types of
165 oils on wind turbine gearbox 3 (Wtg#3). The gearbox oil was changed from type A to C
166 i.e. mineral to synthetic. For the same wind turbine input speed, type C (synthetic) oil
167 achieves higher temperatures than type A (mineral). The greatest differences in
168 temperature are recorded within velocity range 5 to 12 m/s (Figure 5B). This corresponds
169 to the beginning of the turbine's power curve, for which relates the largest number of
170 observations. Analysing the active power produced in the higher temperature range
171 (Figure 5C), i.e. between 50° C and 64° C, is observed that at an oil temperature of 58° C,
172 gearbox with type C produces 1700 kW, while type A records approximately 1900 kW.
173 It is also observed that, since the bins are "1° C", the range of values between 57 and 59°
174 C corresponds to the highest number of observations i.e. approximately 5700 data for
175 2000 kW of recorded power. Figure 5D shows differences of wind energy production
176 within the range of speeds between 12 and 15 m/s, since the largest differences are within
177 this range. It is observed that for an input velocity of 14 m/s, power differences between
178 oil types are close to 20 kW.

179 The gearbox of turbine number 4 (Wtg#4, Figure 6) experienced three different oils types.
180 Observing Figure 6A, it is possible to verify significant differences in temperature for the
181 same input speed. Again, oil type C registered the higher temperatures confirming the
182 results 192 obtained in turbine 3. Also, it is within the velocity range between 5 and 12
183 m/s that the largest temperature differences are recorded (Figure 6B), which corresponds

184 to the largest number of observations. All registers are found to be above 45° C, which
185 means that the oil is circulating in the heat exchanger and fans are not working. The data
186 also shows large differences in temperature at low speeds, reaching a maximum of 8° C
187 difference between two types of synthetic oils from different suppliers. Analysing the
188 active power produced in the higher temperature range (Figure 6C), i.e. between 50° C
189 and 62° C, results differ from turbine 3. At 54° C large differences in production can be
190 observed between the three types, but with increasing temperatures, those differences
191 disappear (i.e. 56.5° C bin). However, the continuous increase of temperature after this
192 point leads again to changes on active power production, with deficits of 150 kW between
193 oil type C and B and 200 kW between C and A, confirming that C type oil adversely
194 affects energy production. The active power difference is then analysed within the 12 and
195 24 m/s range (Figure 6D) where is observed that oil B presents the worst behaviour. This
196 is particular evident for velocities over 19 m/s, despite presenting lower temperatures
197 inside gearbox than type C. The major differences between type A and C on active power
198 production are recorded around ~18 m/s (~40 kW difference), with type A registering
199 better behaviour. However, at the maximum load area (i.e. input velocity ~20 m/s), the
200 two oils present a very similar performance.

201 The gearbox of turbine number 6 (Wtg#6, Figure 7) also experienced three different oils
202 types. Observing Figure 7A it is possible to verify significant differences in temperature
203 for the same input wind velocity. But this time, on contrary to turbine 4, type A presents
204 the higher temperatures inside gearbox for velocities over 10 m/s i.e. the beginning of
205 nominal wind speed. For lower velocities type C presents higher temperatures (Figure
206 7B). The largest production differences are recorded in the temperature range between
207 52° C and 56° C i.e. before the exchanger fans are turned on. For example, for a
208 production of 1500 kW, type B oil registers 52.5° C, type C ~54° C and type A ~56° C
209 (Figure 7C). The oil temperature increase at ~58.5° C shows a production difference of
210 500 kW, comparing oils type A and B; whereas differences between types A and C are
211 ~50 kW. When analysing the active power difference within the 12 and 24 m/s range
212 (Figure 7D) it can be observed that oil B presents again the worst overall behaviour.

213 Again, a very similar performance to Wtg#4 is registered 224 by comparing type A and
214 C oils at the maximum load area of the turbine (i.e. 20 m/s). The gearbox of turbine 7
215 experienced the use of two oils as turbine 3 (Figure 8). However, on the contrary of

216 turbine 3, type A achieves higher temperatures (Figure 8A), although those differences
217 are only noticeable over 9 m/s (Figure 8B). In terms of production, the largest differences
218 were recorded between 52° C and 57° C i.e. before the exchanger fans are turned on. For
219 example, for a production of 1500 kW, oil type C registers 56° C, while type A oil
220 registers 56,2° C. Highest differences of ~1° C are observed at 1000 kW. The increase of
221 oil temperature over ~60° C has negligible effect on production. It is within the 12 and 24
222 m/s range that highest differences are recorded on active power production, registering a
223 maximum of plus 80 kW using type A oil at 18.2 m/s. But, overall, it is observed a very
224 random behaviour between the two types. Once more, a very similar performance is
225 registered at the maximum load area of the turbine (i.e. 20 m/s).

226 The analyses of oil samples collected on the different gearboxes confirm the above results
227 (Table 3). The reference values of viscosity at 40° C (Table 1) for the three analysed oils
228 are 320 cSt (type A), 320 cSt (type B) and 325 cSt (type C). Table 3 shows oil viscosity
229 analysis after use, where it can be observed that the main changes on viscosity occur for
230 type B and C oils. A maximum drop from 320 to 306.93 cSt is verified in oil type B on
231 turbine 4. Because oil type A registers, in general, lower temperatures, it positively
232 influences the non-change of viscosity at 40 °C.

233 The analysis of viscosity at 100° C reveals similar trends. The reference values of
234 viscosity at 100° C (Table 1) for the three analysed oils are 24.1 cSt (type A), 35.1 cSt
235 (type B) and 34.9 cSt (type C). Again, oil types B and C show increase degradation, with
236 maximum changes occurring once again on type B oil, dropping from 35.1 to 31.38 cSt
237 on turbine 6. Turbine 4 using type B oil also show a significant decrease (e.g. drop to
238 32.14 cSt). Gearboxes using type C oil also show average decreases in the order of 3 cSt
239 for all the turbines, except in turbine 7. However, turbine 7 was the one registering smaller
240 differences of temperatures within all power operation range, but also smaller active
241 power productions. Finally, the reference values of viscosity index (ASTM D 2270, Table
242 1) for the three analysed oils are 96 (type A), 155 (type B) and 152 (type C). Major
243 changes occur on gearbox of turbine 4 (Table 3) when using type B oil (drop from 155 to
244 145) and type C oil (dr 256 op from 152 to 147). Negligible variations are showed on
245 gearboxes using type A oil.

246

247

248 **4. Discussion**

249 Conditioning monitoring of gearbox systems is essential for mechanical system reliability
250 management [29]. The today use of control systems such as SCADA able the access to a
251 large amount of real time sensor data that can be used to prevent turbine failures and loss
252 of efficiencies. Wind industry has been attempting to integrate SCADA and Conditioning
253 Monitoring Systems (CMS) data to detect, diagnose and predict gearbox failures [30]. In
254 that sense, oil and lubrication analysis is one among many important condition-
255 monitoring approaches. Oil cleanness, viscosity and temperature give insight onto how
256 the gearbox of any wind turbine is performing [31].

257 As an example, the today understanding of the mechanisms involved in pitting damage is
258 still incomplete. This is partly due to large number of influencing factors that must be
259 taken into account when studying Rolling Contact Fatigue. Indeed, literature underlines
260 the impact of tribological parameters (loading, contact conditions and lubricant viscosity
261 [32]) together with material parameters (steel composition, thermo-chemical treatment,
262 surface roughness and residual stresses) and environmental parameters (temperature,
263 humidity and lubricant chemistry [33]). But, amongst all these parameters, it is today well
264 known that lubrication has a significant influence on Rolling Contact Fatigue and on
265 pitting, in particular [34].

266 The results presented within this study are based on relations established between the
267 active power curves and wind velocity; oil temperature inside gearboxes and wind
268 velocity; and oil temperature inside gearboxes and active power production. The propose
269 was to analyse how lubricant selection affects gearbox efficiency and overall energy
270 production by analysing real data from wind farms.

271 Overall, results show that, most of the time, the temperature of mineral oil (type A) was
272 lower than synthetic type oils (type B and C) for the same input velocities. Moreover,
273 gearboxes working with type A oil performed better than gearboxes with type B or C oils.
274 In some cases, performance differences achieved maximum of 200 kW in active
275 production (e.g. #Wtg3 at 50° C, Figure 4 between Type A and C oils). There is a direct
276 relation between oil quality inside gearboxes with energy efficiency. A maximum
277 viscosity drop from 320 to 306.93 cSt was verified 288 in oil type B on turbine number
278 4. Also, it is within the velocity range between 5 and 12 m/s that the largest temperature
279 differences are recorded (Figure 6B), which corresponds to the largest number of

280 observations, meaning that this particular turbine has worked most of the time within this
281 velocity range.

282 This is an important result since the most common gear failures (e.g. wear, scuffing,
283 micropitting, pitting, etc) are influenced by the oil temperature in the lubrication system
284 [35]. As a direct result of viscosity and additives decrease, several studies recorded pitting
285 initiation, suggesting that lubricant additives can promote crack initiation by creating
286 corrosion pits on steel surfaces [36, 37]. This because high temperatures are linked with
287 a decrease of oil viscosity, producing thin lubricant films in the gear mesh which can
288 affect performance. For example, the formation of a tribofilm from Zinc Dialkyl Dithio
289 Phosphate (ZDDP), an anti-wear additive, can also promote crack initiation by preventing
290 surfaces roughness reduction during running-in [38].

291 Higher temperatures can also lead to higher stress on the material composing the gearbox
292 system e.g. for gear oils with additives higher temperatures correspond with higher
293 chemical activity [35]. As an example, Nutakor et al [39] studied how the design
294 parameters of planetary gear sets, bearings and lubricant properties influence the wind
295 turbine performance. The authors concluded that decreasing oil viscosity by increasing
296 oil temperature results in significant increase of bearing mechanical power losses inside
297 of the gearbox on a planetary gear.

298 A gearbox is the component with more operational complexity and unit cost [40] and
299 therefore vibration data and oil condition data has been used as the main input in
300 behavioural models, neural networks, finite element modelling and statistical methods to
301 predict gearbox failures. As an example, an approach for utilization of SCADA data for
302 conditioning monitoring by means of artificial neural networks (ANN) was recently
303 developed [41]. The approach was based on creating normal behaviour models for critical
304 components by closely monitoring gearbox oil temperature, enabling to detect anomalous
305 operations.

306 The present paper results add to the literature by presenting a clear case study of the
307 relation between oil temperature and viscosity inside gearboxes with energy efficiency,
308 which can be further use on ANN training to detect and prevent gearbox failures and
309 optimize oil changing procedures. What appears clear from the results is that oil
310 characteristics play a significant role on efficiency losses, strongly highlighted by the
311 analysis of gearboxes that experienced the usage of the three different oil types. It is also

312 evident that mineral type A presents better performance than synthetic B and C types. An
313 interesting fact is that although type B shows lower temperatures than type A, there is no
314 positive effect on production. In fact, gearboxes working with oil type B show a drop on
315 production between the 12 and 24 m/s range (e.g. #Wtg4, Figure 6). This type B oil is
316 currently being withdrawn by the promotor from several turbines of different wind farms
317 due to its poor performance; and because of the change in the viscosity index after 1 year
318 of operation. The type C oil is the one presenting worse results, both in terms of
319 temperature and active power production. This is a general trend at all the turbines that
320 use this oil on their gearbox.

321 Another interesting aspect relates with the behavioural of the temperature using different
322 oil types along the active power curve. Within the maximum turbine load area, comprised
323 between the achievement of the rated output and cut-off speeds (i.e. wind over ~14 m/s),
324 type A and C register a very similar behaviour. In fact, and observing gearbox of turbine
325 6 (#Wtg6, Figure 7) working with oil type A, higher temperatures are recorded, which
326 adversely affects performance. However, this result is not pronounced and is particular to
327 this area of the power curve, restricted to fewer observations when compared to the data
328 collected between the cut-in and rated power speed curve area, where type A oils always
329 performs better.

330 Finally, the papers shows the capability of the proposed method on identifying different
331 out-put power behaviours linked to oil temperature; and how to identify possible failures
332 through temperature patterns. Oil temperature indicator can be used as a complement in
333 Condition Monitoring Systems (CMS), which have been primarily focused on measuring
334 the particle contamination in the lubricant fluid [42]. The close monitoring of this
335 parameter by O&M managers will allow them to have sufficient time to plan up-tower
336 repairs, by enabling them to reduce downtime, heavy equipment and logistics costs and,
337 most important, preventing consequential failures in the entire gearbox system.

338

339 **5. Conclusions**

340 This paper analysed time-series of active power production and its relation to oil
341 temperature inside gearboxes using SCADA 354 data, supported by regular viscosity oil
342 analysis. The main conclusion from the result analysis are: (i) temperature inside
343 gearboxes working with mineral oils were lower than synthetic oil types; (ii) there is a

344 direct relation between oil characteristics and energy efficiency i.e. gearboxes working
345 with mineral oil perform better than gearboxes working with synthetic oils. Those
346 differences are significant, achieving maximums of 200 kW differences on active power
347 production; (iii) oils of similar nature (i.e. synthetic) present significant differences on
348 performance, and even oils that resist to a temperature increase can show worst
349 performance on active power production; and (iv) finally, degradation of oil was
350 influenced by the temperature rise and viscosity decrease, showing that temperature
351 behaviour along the active power curve is strongly related to oil type characteristics.

352 The close monitoring of these parameters inside the gearbox reveal vital in order to
353 evaluate performance drops and can be used to detect mechanical faults as well as to
354 extend the lifetime of the components. In order to increase the gearbox reliability it would
355 be necessary to complement the above analysis with the study of the particle count (i.e.
356 oil debris) and evaluate its effect on the overall energy production.

357 **Notation**

358 T_{oil} - oil sump temperature (°C)

359

360 **Acknowledgements**

361 The paper is a contribution to the SCORE project, funded by the Portuguese Foundation
362 for Science and Technology (FCT – PTDC/AAG-TEC/1710/2014) and MONITOR
363 project, funded by the Atlantic Area (EAPA_333/2016). André Pacheco was supported
364 by the Portuguese Foundation for Science and Technology under the Portuguese
365 Researchers' Programme 2014 entitled "Exploring new concepts for extracting energy
366 from tides" (IF/00286/2014/CP1234). Eduardo G-Gorbeña has received funding for the
367 OpTiCA project from the Marie Skłodowska-Curie Actions of the European Union's
368 H2020-MSCA-IF-EF-RI-2016 / under REA grant agreement n° [748747]. The authors
369 acknowledge IberWind for supplying all data measurements.

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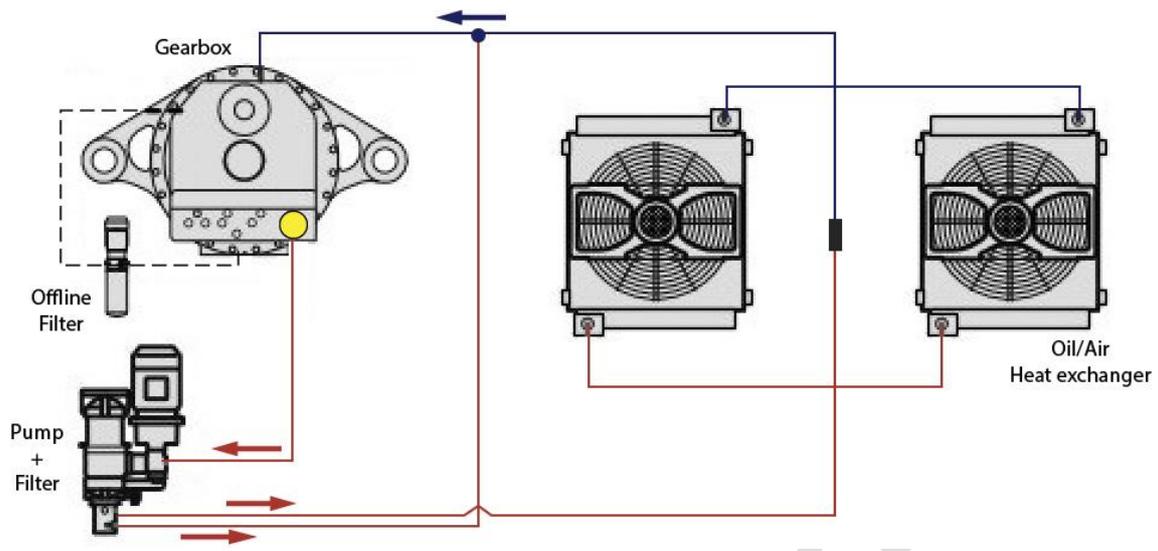
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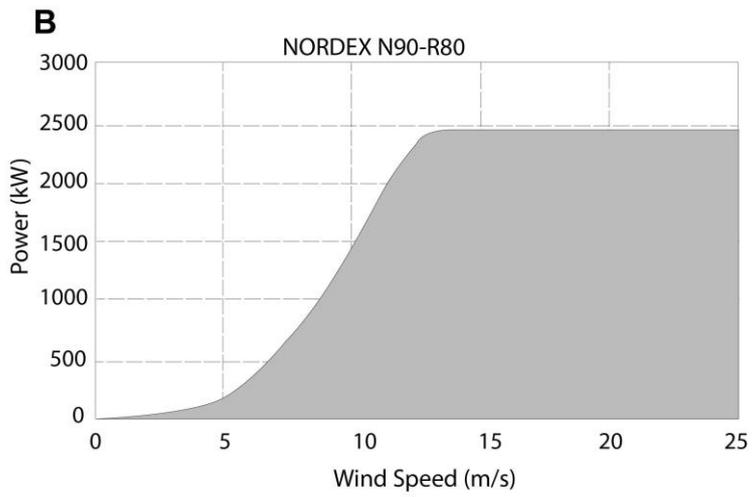
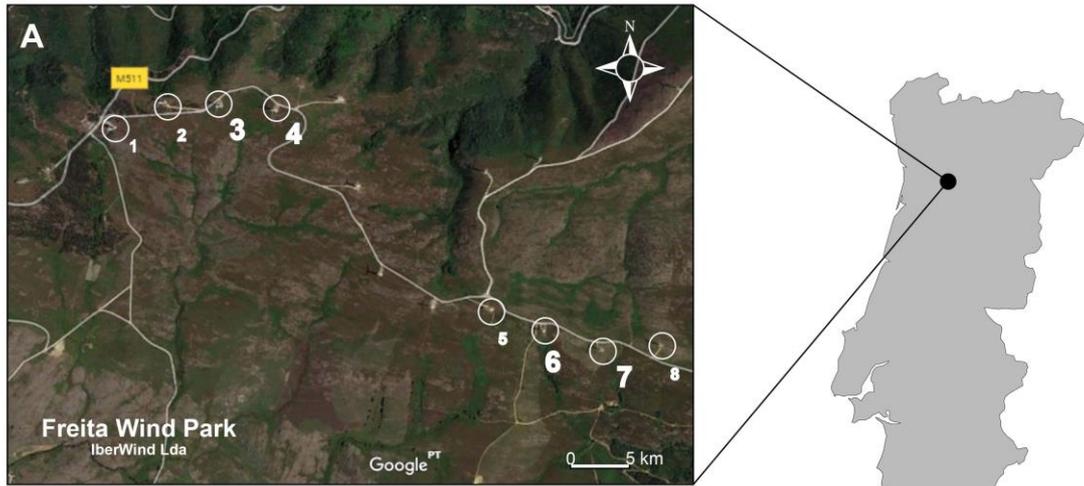
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516 **FIGURE CAPTIONS**

517

518 **Figure 1.** Gearbox cooling circuit. The gearbox is provided with a combined splash / circulatory lubricating
 519 system. The cooled and filtered oil is fed to the gearbox through a distributor (blue point) which distributes
 520 it to the bearings through internal pipes and the borings (blue arrow). The oil pressure is approx. 2.5 – 3
 521 bar at an oil sump temperature of 60°C. The gear case of the helical gearbox is fitted, below the oil level,
 522 with the screw-in heaters with replaceable heating rods (yellow circle on gearbox). The heaters must be
 523 switched on when the oil sump temperature drops below +5°C (red lines and arrows), cooling the oil. The
 524 switch-off point lies between +10°C and +15°C. Monitoring is ensured by the above mentioned resistance
 525 thermometers.

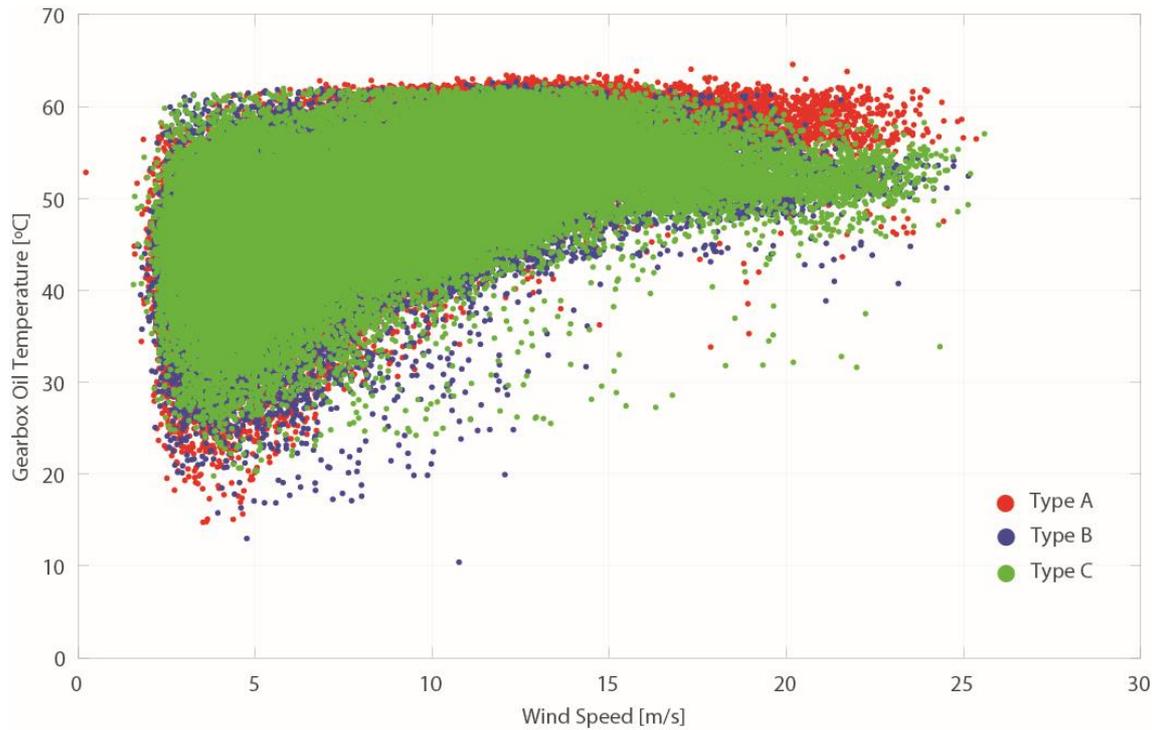


Rating of each turbine: 2300 kW
Tower height | Tower Weight: 80m | 179t
Rotor diameter | Rotor Weight: 90m | 52t
Nacelle weight: 97t
Turbine rotation speed: 9.6-16.9 rpm
Blade length: 45m

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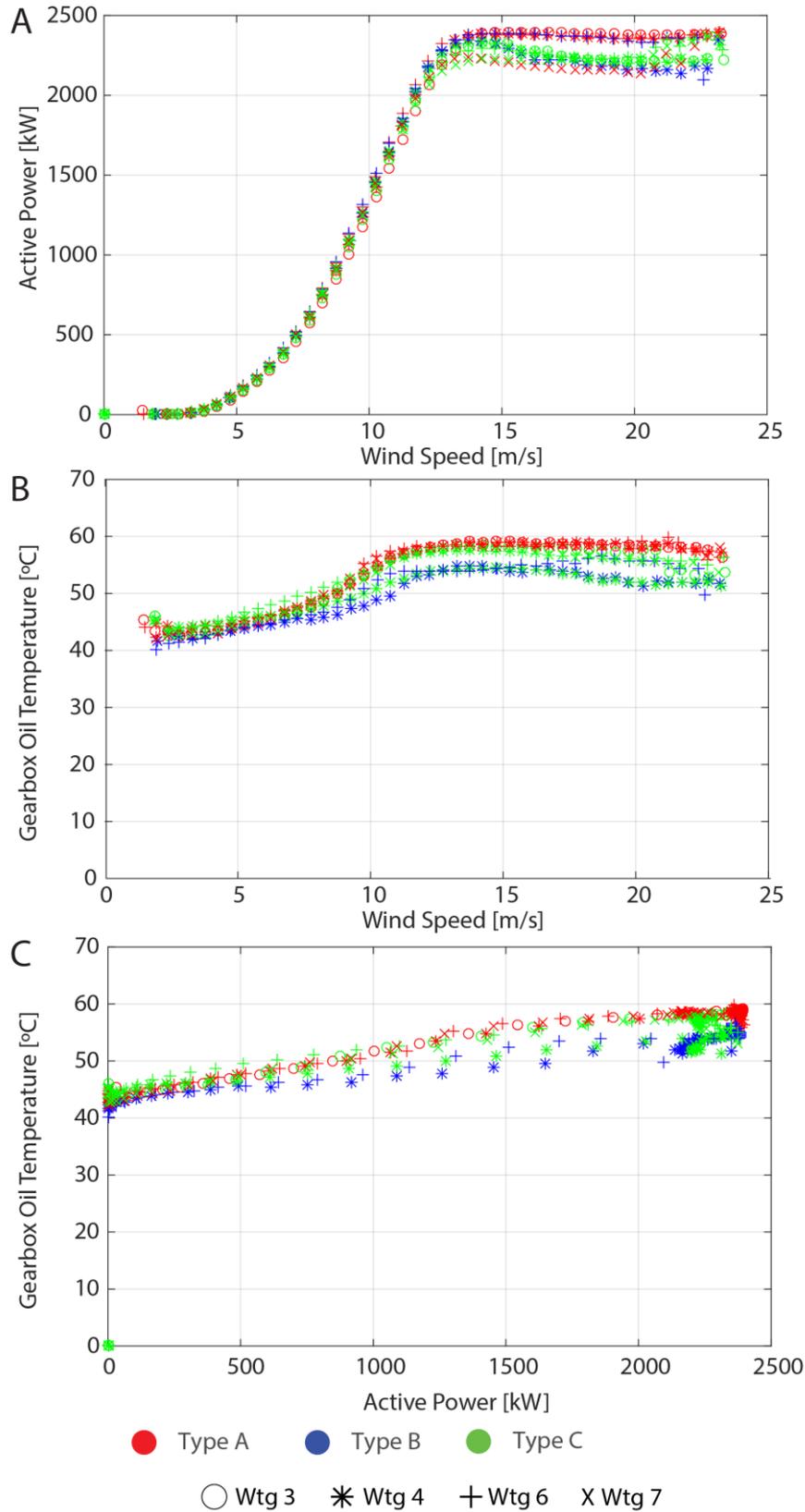
527 **Figure 2.** (A) Freita' Wind Park, Arouca (Portugal). On the image in white are represented the four turbines
528 operating since 2006 and without gearboxes replacements; (B) Power curve of the NORDEX N90-R80
529 turbines and characteristics.



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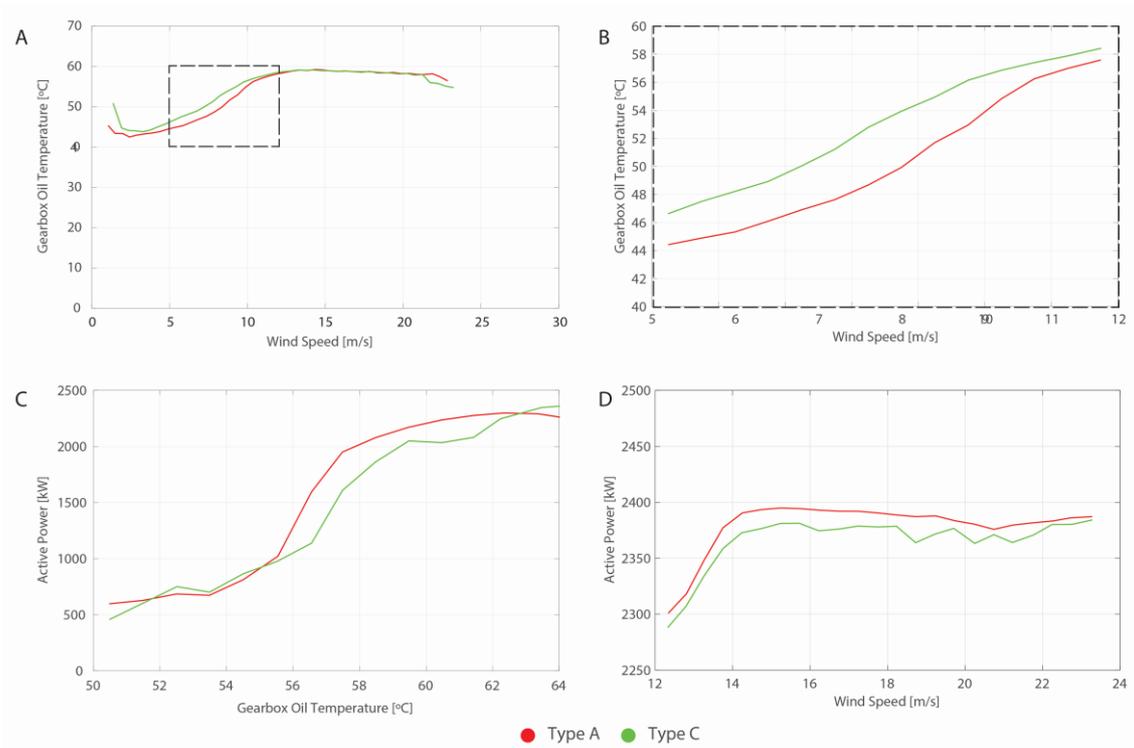
531 **Figure 3.** Example of the pre filter raw data from the SCADA system showing the relation between the
532 gearbox temperature (°C) and wind speed (m/s) for different oil types. Example is from the wind turbine 3
533 of the Freita' Wind Park, Arouca (Portugal).

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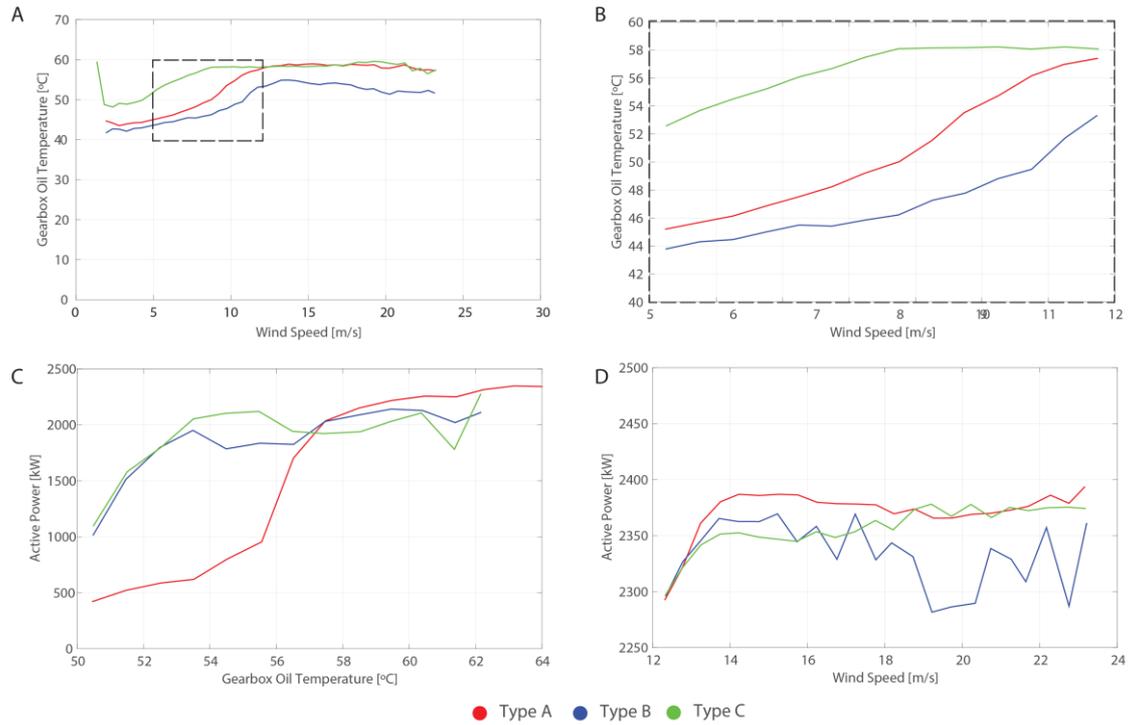
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535 **Figure 4.** Bin method results for the four analysed wind turbine gearboxes (Wtg) and for the three different
 536 oil types: (A) active power curves as a function of wind speed; (B) oil temperature inside gearboxes as a
 537 function of wind speed; (C) oil temperature inside gearboxes as a function of active power production.



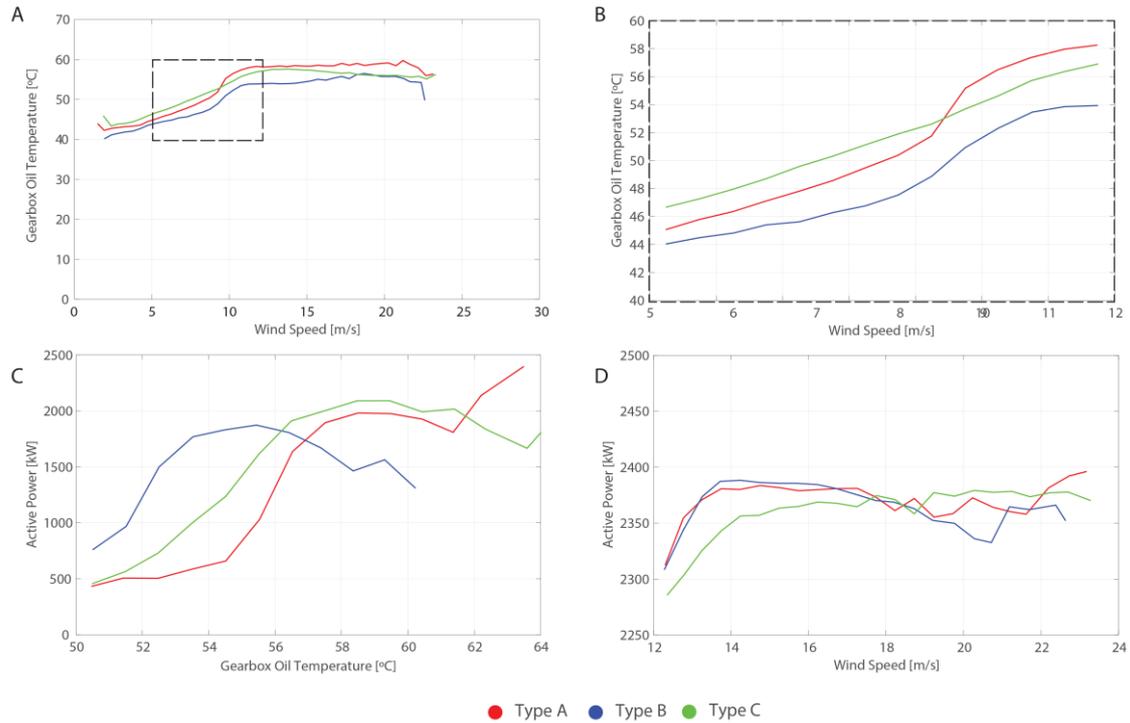
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539 **Figure 5.** (A) Relation between gearbox oil temperature and wind speed velocity after oil replacement from
 540 type A to C on turbine 3; (B) zoom in to the velocity range where most measurements were registered and
 541 where the highest temperature differences were observed; (C) active power produced in the higher
 542 temperature range, showing significant differences at an oil temperature of 58° C; and (D) differences of
 543 wind energy production within the range of speeds between 12 and 15 m/s, registering the largest
 544 differences (~20 kW).



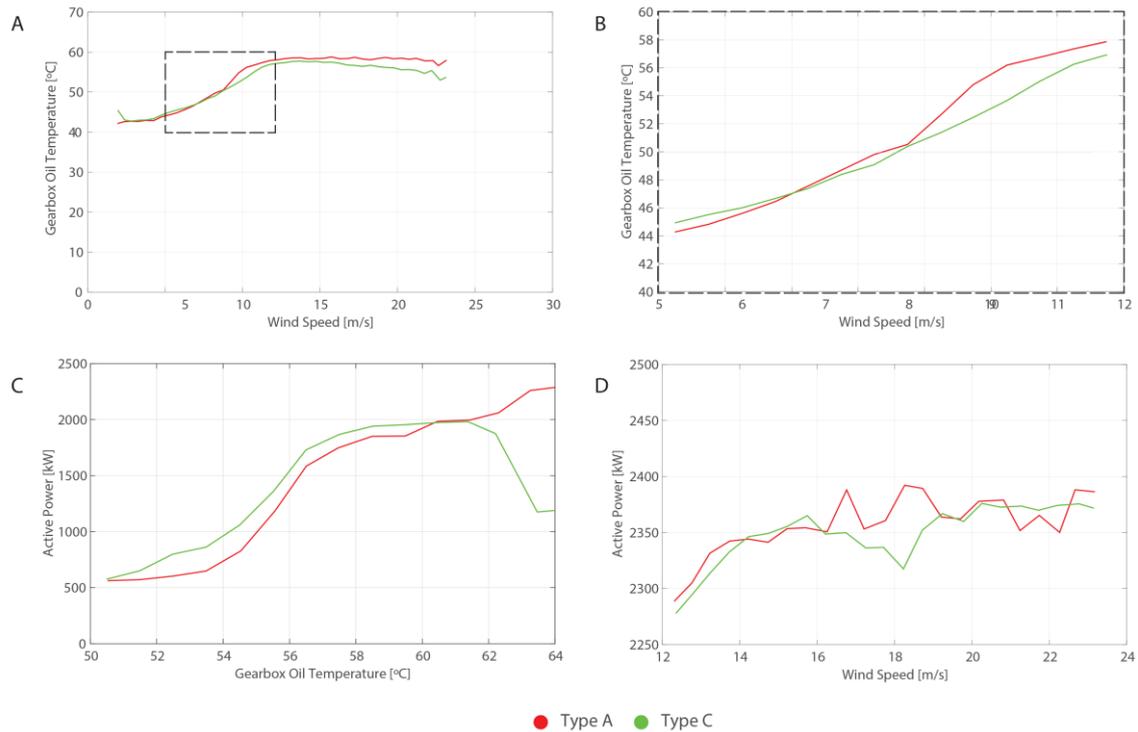
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546 **Figure 6.** (A) Relation between gearbox oil temperature and wind speed velocity after oil replacements on
 547 turbine 4 which experienced the three different oils types; (B) zoom in to the velocity range where most
 548 measurements were registered and where the highest temperature differences were observed; (C) active
 549 power produced in the higher temperature range, showing that the largest production differences are
 550 recorded in the temperature range between 52° C and 56° C; and (D) differences of wind energy production
 551 within the range of speeds between 12 and 24 m/s, where it is observed the poorest performance when using
 552 oil B.



553

554 **Figure 7.** (A) Relation between gearbox oil temperature and wind speed velocity after oil replacements on
 555 turbine 6 which experienced the three different oils types; (B) zoom in to the velocity range where most
 556 measurements were registered, where is observed that type A presents the higher temperatures inside
 557 gearbox for velocities over 10 m/s i.e. the beginning of nominal wind speed; (C) active power produced in
 558 the higher temperature range, showing that the largest production differences are recorded in the
 559 temperature range between 52° C and 56° C; and (D) differences of wind energy production within the
 560 range of speeds between 12 and 24 m/s, where it is observed again the poorest performance when using oil
 561 B.



562

563 **Figure 8.** (A) Relation between gearbox oil temperature and wind speed velocity after oil replacements on
 564 turbine 7 which experienced two different oils types; (B) zoom in to the velocity range where most
 565 measurements were registered. In this case temperature differences are only noticeable over 9 m/s; (C) the
 566 largest differences on active power production occur between 52° C and 57° C i.e. before the exchanger
 567 fans are turned on; and (D) overall negligible differences of wind energy production within the range of
 568 speeds between 12 and 24 m/s, registering a maximum of plus 80 kW using type A oil at 18.2 m/s.

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573 **TABLE CAPTIONS**574 **Table 1.** Types of oil and characteristics used on the NORDEX N90 gearboxes.

	A	B	C
Type	Mineral (MINR)	Synthetic polyalphaolefin (PAO)	
ISO Viscosity Grade	320	320	320
Viscosity, ASTM D 445, cSt @ 40°C	320	320	325
Viscosity, ASTM D 445, cSt @ 100°C	24.1	35.1	34.9
Viscosity Index, ASTM D 2270	96	155	152
Density @15 °C	0.903	0.943	0.854
Flash Point (° C)	268	280	250
Fusion Point (° C)	-18	-33	-33
Chemical Properties			
Calcium (Ca mg/kg)	7	5	1511
Magnesium (Mg mg/kg)	0	0	3
Boron (B mg/kg)	0	0	0
Zinc (Zn mg/kg)	51	29	4
Phosphorus (P mg/kg)	203	200	311
Barium (Ba mg/kg)	0	0	0
Molybdenum (Mo mg/kg)	2	0	808
Sulphur (S mg/kg)	13258	3013	2586
	A	B	C
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Molybdenum (Mo mg/kg)	2	0	808
Sulphur (S mg/kg)	13258	3013	2586

575

576 **Table 2.** Wind turbine gearbox (WTG) exchange dates and used oils types on the NORDEX N90 gearboxes.

WTG Number	Type A	Type B	Type C
Wtg#3	05/04/2011		24/05/2013
Wtg#4	10/05/2011	23/01/2012	30/01/2013
Wtg#6	05/04/2011	23/01/2012	30/01/2013
Wtg#7	02/04/2012		19/03/2013

577

578 **Table 3.** Sampling results on oil viscosity for the different turbine gearboxes.

Wind Gearbox (#Wtg)	#Wtg3		#Wtg4			#Wtg6			#Wtg7	
Oil Type	A	C	A	B	C	A	B	C	A	C
Viscosity, ASTM D 445, cSt @ 40°C	315.96	313.16	314.28	306.93	307.78	309.58	308.85	304.77	316.17	312.48
Viscosity, ASTM D 445, cSt @ 100°C	23.54	32.00	23.56	32.14	32.51	23.50	31.38	32.78	23.60	34.22
Viscosity Index, ASTM D 2270	94	142	93	145	147	95	140	149	94	154

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Highlights

- Analysis of real data from wind farms monitored and controlled by SCADA system
- Relations established between lubricant selection and the active power production
- Direct relation observed between oil characteristics and energy efficiency
- Gearboxes working with oils of similar nature result in differences on performance
- Noted oil degradation as a function of temperature increase, affecting production

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