2

3

4

5

6

7

1

Deployment characterization of a floatable tidal energy converter on a tidal

channel, Ria Formosa, Portugal

Pacheco, A.¹, Gorbeña, E.¹, Plomaritis, T.A.¹, Garel, E.¹, Gonçalves, J.M.S.², Bentes, L.²,

Monteiro, P. 2, Afonso, C.M.L.2, Oliveira, F.2, Soares, C.3, Zabel, F.3, Sequeira, C.1

¹CIMA, University of Algarve Ed7, Campus de Gambelas 8005-139 Faro, Portugal ²Centro de Ciências do Mar (CCMAR), University of Algarve, Campus de Gambelas, 8005-139 Faro, Portugal ³MarSensing Lda., Centro Empresarial Gambelas Campus de Gambelas Pavilhão B1, 8005-139 Faro, Portugal

8 9

10 Abstract

This paper presents the results of a pilot experiment with an existing tidal energy converter 11 (TEC), Evopod 1 kW floatable prototype, in a real test case scenario (Faro Channel, Ria 12 Formosa, Portugal). A baseline marine geophysical, hydrodynamic and ecological study based 13 on the experience collected on the test site is presented. The collected data was used to validate 14 a hydro-morphodynamic model, allowing the selection of the installation area based on both 15 operational and environmental constraints. Operational results related to the description of 16 power generation capacity, energy capture area and proportion of energy flux are presented 17 and discussed, including the failures occurring during the experimental setup. The data is now 18 available to the scientific community and to TEC industry developers, enhancing the 19 operational knowledge of TEC technology concerning efficiency, environmental effects, and 20 interactions (i.e. device/environment). The results can be used by developers on the licensing 21 process, on overcoming the commercial deployment barriers, on offering extra assurance and 22 confidence to investors, who traditionally have seen environmental concerns as a barrier, and 23 on providing the foundations whereupon similar deployment areas can be considered around 24 the world for marine tidal energy extraction. 25

Keywords: Tidal energy; Tidal energy converters; Floatable tidal turbines; Energy production;
Ria Formosa, Portugal.

28 **1. Introduction**

The hydrokinetic energy that can be extracted from tidal currents is one of the most promising 29 new renewable energy technologies [1]. Despite its huge potential, energy extraction from tidal 30 energy converters (TEC) devices is still in its infancy. The prospects for tidal energy converter 31 technologies very much depend on the specific device concept and how those devices can be 32 optimised to efficiently extract energy, minimizing environmental impacts. Science currently 33 has a very poor understanding of both the hydrodynamics and the ecological implications 34 related with the extraction of energy on coastal environments. In few cases where devices have 35 been deployed the data is highly commercially sensitive and thus not in the public domain and 36 available to the scientific community for research development. The deployment of TECs has 37 also been hindered by a lack of understanding of their environmental interactions, both in terms 38 of the device impact on the environment (important for consenting and stakeholder bodies) and 39 environmental impact on the device (fatigue, actual power output, etc.) which is vital to 40 enhance investor confidence and increase financial support from the private sector. The access 41 to freely available, transparently collected monitoring data from real deployments is paramount 42 both for resource assessments and for cataloguing potential impacts of any marine renewable 43 installation. 44

This paper presents the results from the deployment of a small-scale tidal current turbine 45 (Evopod E1) in a shallow-water estuarine environment, Ria Formosa Portugal, under SCORE 46 project Sustainability of using Ria Formosa Currents On Renewable Energy production. This 47 1:10th scale prototype operated from June to November 2017. The general objective of SCORE 48 is to construct an operational envelope, which can be used by technology developers for design 49 concepts of efficient TECs based on environmental and sustainability principles, contributing 50 to the growth of the blue economy. The deployment site and prototype characteristics are 51 presented in sections 2; section 3 presents the challenges on installing, operating and 52 decommissioning E1 prototype, along with the data collected under the monitoring program; 53 section 4 presents the results obtained, which are fully open access and available for download, 54 following the European Marine Energy Centre (EMEC) standards; and section 5 draws the 55 56 final remarks and describes ongoing work.

57

58 2. Experimental settings

59 2.1. Deployment site

The experience with the TEC prototype was performed at Faro-Olhão Inlet, the main inlet of 60 Ria Formosa system (hereafter RF), a coastal lagoon located in the South of Portugal (Figure 61 1). The RF is a multi-inlet barrier system comprising five islands, two peninsulas separated by 62 six tidal inlets, salt marshes, sand flats and a complex network of tidal channels. The tides in 63 the area are semi-diurnal with typical average astronomical ranges of 2.8 m for spring tides and 64 1.3 m for neap tides. A maximum tidal range of 3.5 m is reached during equinoctial tides, 65 possibly rising over 3.8 m during storm surges. The wind is on average moderate (3 ms 1) and 66 predominantly from the west. Variance analysis of both tidal and nontidal signals has shown 67 that the meteorological and long-term water-level variability explains less than 1% of the total 68 recorded variance [2]. The lagoon is generally well mixed vertically with no evidence of 69 persistent haline or thermal stratification, which relates to the reduced freshwater input and 70 elevated tidal exchanges i.e. the lagoon is basically euryhaline with salinity values close to 71 those observed in adjacent coastal waters [3]. 72

The deployment site was selected nearby the navigation channel of Faro-Olhão Inlet, Faro 73 Channel, the largest and most hydraulically efficient channel of RF. The depths of the channel 74 in the deployment area range between 4 and 15 m (below msl). Faro-Olhão Inlet is the main 75 inlet of the system, trapping 60% of the total spring-tidal prism of the RF system [4]. The inlet 76 is characterised by strong currents (depth average velocities over 2 ms⁻¹ at the inlet throat), 77 especially during ebb. A large difference between flood and ebb duration occurs during spring-78 tides i.e. ebb duration is shorter and mean velocities are higher. This difference becomes 79 smaller during neap-tides. Due to the narrow inlet mouth (Figure 1A) and the strong tidal 80 current, limited offshore wave energy is reaching the lagoon. Nevertheless, the mooring 81 location could experience fetch dependant waves generated by wind blowing over the lagoon 82 water from the NW or NE directions. 83

Energy from tides was harvested before at Ria Formosa with tidal mills (XII century) and recent tidal energy assessments determined a mean and maximum potential extractable power of 0.4 kWm⁻² and 5.7 kWm⁻², respectively [5]. The RF has attracted research interest in all environmental aspects and hence there is a lot of background literature available about biology, morphodynamics and hydrodynamics. The system is particularly adequate for testing floatable TEC prototypes, and representative of the vast majority of transitional systems where these devices can be used to extract energy to power small local communities.

92 2.2. E1 Evopod 1 kW prototype

EvopodTM is a device for generating electricity from coastal tidal streams, tidal estuaries, rivers 93 and oceanic sites with strong currents (Figure 2). It is a unique floating solution drawing upon 94 proven technologies used in the offshore oil/gas and marine industries [6]. The 1:10th scale 95 Evopod (E1 hereafter) consists of a positively buoyant horizontal cylindrical body of 2 m 96 length and 0.4 m diameter to which are attached three stabilising fins set in a triangle, tethered 97 to a subsurface buoy. Each fin is approximately 1.2 m height, 0.4 m wide and 0.1 thick. The 98 main body and fins are constructed of steel. When deployed, approximately 0.4 m of the fins 99 are above the water surface. The semi-submerged nacelle has surface piercing struts providing 100 sufficient reserve of buoyancy to resist to the vertical component of the drag force produced 101 by the moorings. The surface piercing struts have a small water-plane area so that the motions 102 of E1 in waves are minimised and do not adversely affect the turbine performance. 103

A four-bladed 1.5 m diameter turbine made of composite material is attached at the rear of the 104 body and is designed to rotate between 20 and 55 rpm. This result on a maximum blade tip 105 speed of 4.3 ms⁻¹, driving a 1 kW permanent magnet AC generator at a rated flow velocity of 106 1.7 ms⁻¹. E1 has a cut-in velocity of 0.7 ms⁻¹ and it cannot withstand steady flow velocities 107 larger than 1.75 ms⁻¹. The width of each blade is approximately 0.1 m and the depth between 108 the sea surface and the highest point of the rotor is 0.45 m. Hence, the E1 device consists of a 109 fixed pitch 4-bladed turbine driving through a step-up planetary gearbox to a 3-phase multipole 110 permanent magnet generator. The power from the generator feeds a navigation beacon plus an 111extensive suite of instrumentation measuring the flow speed, voltage, current, torque, revs, 112 temperature, resistor settings, yaw angle and mooring tension. Data records are logged 113 internally and transmitted to a remote PC through GSM communication. Table 1 summarises 114 EvopodTM key discriminators at different scales. 115

116

117 **3. Methodology**

118 **3.1. Deployment, operation and decommissioning**

The E1 deployment took place on 8th June 2017. Authorization for deployment was obtained from local maritime authorities following a fast and simple administrative procedure. The device was tethered to the seabed using a four-line catenary spread mooring system (Figure 3A). The flow speeds, wave and wind characteristics at the deployment site were used for the design of the mooring system (Table 2). The moorings consists of chain and galvanised

wire mooring lines attached to 4 concrete anchors weighting approximately 1 ton each (Figure 3C). The device is a simple fixed pitch downstream turbine, which aligns freely with the predominant current direction. A load cell was placed for the two south and north lines (Figure 4A), respectively, measuring the tension while E1 is extracting energy. Since the prototype has been deployed for three months, it was not connected to the grid and therefore the excess generated power was dissipated as heat into the sea.

The prototype was installed in collaboration with a local marine services company, which was 130 subcontracted to provide a barge boat equipped with a winch (Figure 4B), essential to lower 131 the anchoring weights at their exact planned location, using RTK-DGPS positioning. The 132 operation was performed at slack tide and involved a staff of ten people, including skippers, 133 researchers, divers and technical operators, supervised by the maritime authorities. The 134 prototype operated at site (Figure 4C) until the 21st November, when it was towed back to the 135 harbour and removed from the water. All the anchoring system was removed except the 136 anchoring weights that remained on site. 137

138

139 **3.2. Data collection**

140 **3.2.1. Defining deployment location**

Prior to the deployment a baseline marine geophysical, hydrodynamic and ecological database 141 for the pilot site was created. Table 3 summarises the data obtained under SCORE project. The 142 143 first step of data collection was to complement existent LiIDAR bathymetric data of RF and refine the depths at the deployment site. For this task, bathymetric data (Figure 5A) were 144 collected using a single beam eco-sounder (Odom Hydrographic System, Inc. with a 200 kHz 145 transducer) synchronized at 1 Hz with a RTK differential GPS (rover unit model, Trimble R6), 146 though a computer interface running hydrographic survey software (Hypack[®] 2011, Coastal 147 Oceanographics, Inc.). This allows correcting in real time the tidal and surge levels. A side 148 scan sonar (Tritech StarFish 452F, 450 kHZ) survey was performed to evaluate the presence 149 of priority habitats and characterise the bottom of the deployment area in terms of substrate 150 and the texture type. This characterization allowed the detection of rocky and sediment areas 151 that might be present in the area permitting to choose the best sampling technique for habitat 152 characterization on each bottom type detected. 153

To fully characterise the 3D flow pattern at the deployment location, an ADCP (Nortek AS Signature 1 MHz) was bottom mounted at a mean water depth of 7.7 m (Figure 5B). Current

velocities were measured with 0.2 m cell resolution through the water column, measuring 60 s 156 of data every 5 min bursts. One of the main objectives of the current velocity data collection 157 was to set-up, calibrate and validate a vertical averaged hydrodynamic model (Delft3D) of the 158 entire RF [more details on modelling setup are given on 7], to define the extraction potential at 159 the test case site and to confirm that the deployment location satisfies the prototype constrains 160 (Table 2). From Figure 6, it is evident that flow velocities constraints restricted the locations to 161 place the device. The selected area was then target of a more refine characterisation of current 162 velocities to fully characterize the 3D flow patterns at the deployment location during complete 163 tidal cycles. Those measurements were performed with a Sontek ADCP 1.5kHz with bottom 164 tracking (Figure 5B) by mooring the boat at the exact deployment location (red cross, Figure 165 6). Velocity components were measured along cells of 0.5 m through the water column, by 166 collecting velocity profiles at each 5 s. Based on those measurements, the estimated E1 167 electrical power outputs, P_e , were calculated using Equation 1: 168

169
$$P_e = \frac{1}{2}\rho\eta C_p A_T U_{r_avg}^3 \tag{1}$$

where, ρ is sea water density (1025 kgm⁻³); η represents generator efficiency, gear losses and shaft losses (90%, 5% and 5%, respectively); C_p is the power coefficient (28% [5]); A_T is the rotor swept area; and U_{r_avg} represents the flow speed averaged through E1' rotor swept area i.e. by integrating the ADCP velocity measurements along the area through which the rotor blades of the turbine spin.

175

176 **3.2.2. Environmental site Characterisation**

Several underwater data acquisition methods were tested to identify their viability of use in a 177 high current condition. The techniques employed should give an overview of the priority 178 habitats and communities of species present in the testing area (Figure 5B). Tested sampling 179 methods included: (1) collection of sediment using a "Van Veen" type grab – intended for the 180 quantification and identification of invertebrate species of infauna and also of epibenthic 181 species that are buried in the sand; (2) bottom trawling with a beam-trawl, following the Water 182 Framework Directive's standards [8], which allowed the quantification of epibenthic species 183 (fish and macroinvertebrates) on mobile substrates; (3) underwater visual censuses (UVC) 184 through transects with SCUBA diving, for the identification and quantification of epibenthic 185 fish and invertebrate on mobile substrates; and (4) video transects with Remote Operating 186

Vehicle (ROV, SEABOTIX L200 equipped with two forward-facing cameras), which was used to identify/quantify epibenthic habitats and species through the analysis of videos collected during each immersion of the underwater vehicle. Moreover, the interactions between marine mammals, marine turtles, seabird and fish with the turbine were evaluated through visual census and the colonization of the mooring system was assessed by visual inspection of 3 fixed quadrats (10x10 cm) in two opposite anchoring weights (3x3 photoquadrats).

The ROV video sledge was used to characterize an impact zone of 60 x 15 m centred in the 193 tidal turbine. The impact zone refers to the area where the device was deployed i.e. the spatial 194 area limited by the four mooring weights and lines connected to the E1. In this area two 40 m 195 transects were carried out, one during the flow tide and another during the ebb tide (Figure 7). 196 The same procedure was carried out in a control zone, located 50 m apart from the turbine' 197 deployment area, at the same depth range, bottom type and similar hydrodynamic conditions. 198 Transects were perform at low speed (< 1 knot) with the navigation being monitored with 199 differential GPS. The study areas (i.e. impact and control) were surveyed in four time intervals: 200 3 days prior to the deployment (T-3), and 8 days (T+8), 15 days (T+15) and 63 days (T+63) 201 after the turbine had been installed. Wildlife interaction with the turbine were observed using 202 the same schedule. 203

Video images collected were annotated using COVER software (Customizable Observation 204 Video Image Recorder, v0.7.2) [9]. Every linear meter a still image was used to visually 205 estimate and quantify the percent-cover of the arborescent bryozoan Bugula neritina using 206 ImageJ 1.51j8 [10]. Three additional Gopro cameras were also attached to the video sledge, at 207 70 cm from the bottom, one central facing downward and two in each side at a 45° angle, with 208 209 the purpose of creating an orthophotomosaic of the seabed. Benthic invertebrates are often selected as indicators of marine monitoring, because of their sessile nature and life strategies, 210 macrobenthos responds moderately rapidly to anthropogenic or natural disturbances [11]. 211 During the pilot study, *B. neritina* had been identified as a structural component of the benthic 212 community, so the cover percentage of this species was defined as a proxy of the potential 213 disturbances affecting the local fauna. B. neritina was also chosen as a target species due to 214 their high abundance, sessile nature and easiness of identification from still images. 215

Prior to the installation of E1, a baseline measurement of noise level was performed in January
2017. The acoustic data was collected with an autonomous hydrophone, the digitalHyd SR-1,
installed on a tripod structure (Figure 5C) at a water depth of approximately 11 m, for 13 full

days. A similar acquisition procedure was repeated during the device operation for an interval 219 of 19 full days in August 2017. In both occasions, the equipment was set to record 90 s of 220 acoustic data every 10 minutes, over a frequency band from 0 to approximately 24 kHz. The 221 data analysis consists in obtaining estimates of sound pressure levels (SPL) over the entire 222 acquisition interval, mainly based on statistical indicators both for broadband sound pressure 223 level (SPL) and frequency levels. In November 2017, a complementary data recording was 224 carried out during half of a tide cycle from a boat, by displacing the boat from a flow line 225 passing the rotor. 226

227

228 **3.2.3. Device performance data**

The E1 is instrumented to continuously monitor and log various parameters. The parameters captured during the RF deployment were: flow speed (ms⁻¹), shaft speed of rotation (RPM), generator output voltage (Volts) and current (Amps), device compass heading (° degree) and mooring tension (kN). The flow speed past the nacelle was measured using an Airmar CS4500 ultrasonic speed sensor. A C100 fluxgate compass from KVH Industries Inc provided compass heading; while mooring tensions, F_T , were measured using 0-5kN load cells supplied by Applied Measurements Ltd.

The above analogue data streams were logged using a Squirrel data logger from Grant 236 Instruments. A two-level gear system was installed in order to reduce the shaft speed during 237 high current velocities. The logger has an alarm feature used to control the load on the generator 238 by switching in and out additional resistors. The timing of the gear changes are logged in the 239 system. The base load resistance on the tidal turbine is a battery charger that is used to maintain 240 charge in the on board battery which powers the logger and instrumentation. This tidal turbine 241 battery charging was supplemented by solar panels. The logger set-up allows specifying 242 different sampling rates and logging intervals. At the beginning, the logger was set to record at 243 1 Hz. After changing the batteries and solar panels, the acquisition rate was changed to 0.1 Hz 244 to the logger' extend power capacity. The only exception was the flow speed sensor, which 245 sampled always at 5 Hz. 246

The turbine performance data were then read based on the recorded timestamp. First, the timeseries were checked for duplicate times and for inconsistences in the recording time step (i.e. from 1 to 10 sec). Common occurring phenomenon could inflict time drifting of the recording parameters at slightly different timestamps. To counter the aforementioned problem, all

parameters were interpolated on a common and fixed time step of 10 sec. Second, all recorded parameters were transformed from the measured quantity (Volts) into the correct units using the calibration equations. Finally, the generated time series were smoothed by applying a moving average filter.

255 The thrust coefficients, C_T , were calculated using load cells data using Equation 2:

256
$$F_T = F_{T,o} + \frac{1}{2}\rho(C_T A_T + C_s A_s) U_{E1}^2$$
(2)

where, $F_{T,o}$ depicts the tension force measured by load cells at rest (i.e. at 0 ms⁻¹); C_s is the drag coefficient of E1 structure (~ 0.15 [6]); A_s is the E1 cross-sectional area (~1.15 A_T); and U_{E1} represents the flow speed measured by E1' on-board mounted Doppler. Using the load cells data, the C_T for E1 is obtained by fitting a quadratic drag law of the form $y = Ax^2 + b$, where $y = F_T$, $b = F_{T,o}$, $x = U_{E1}$; and $A = \frac{1}{2}\rho(C_TA_T + C_sA_s)$.

262

263 **3.2.4. Wake measurements**

Wake downstream of E1 was characterized at different distances downstream of the channels by combining the use of two ADCPs (Nortek Signature 1 MHz and Sontek ADP 1.5 kHz; Figure 3A). The objective of the wake measurements was to construct the velocity field near the E1 in order to detect, if possible, the spatial characteristics of the wake over different tidal stages, currents velocities and rotor velocities. Those measurements were made for complete tidal cycles using two different techniques: (1) continuous boat-mounted transects; and (2) static measurements at fix positions along the flow axis (Figure 3).

On (1), the boat was manoeuvred through pre-defined lines spaced every 5 m from the rotor 271 until 30 m distance. Measurements were performed using a Sontek ADP 1.5 kHz with bottom-272 tracking and sampling at continuous mode (e.g. sampling a profile every 5 s). The boat speed 273 was set to the minimum possible in order to assure the best possible data density; but high 274 enough to sample the full area in less than 10 min to assure stationary flow conditions (i.e. 275 constant tidal current). Each set of data was measured at 30 min in order to characterise the 276 spatial and temporal distribution of the wake during the peak flood. This resulted in a total 277 number of 9 timestamps during the 3 hours period around the peak flood. A constant vertical 278 grid was created from 1.1 m depth (i.e. first measured valid cell) up to the maximum water 279 depth with a 0.3 m resolution. 280

On (2), the boat was used as a floatable platform i.e. the Nortek Signature 1 MHz was operated from the boat by displacing the boat from a flow line passing the rotor (i.e. rotor tail and/or wake centre), collecting measurements every 5 s during 5 min bursts. With an ADCP draft of 0.1 m, a blanking distance of 0.1 m and a cell size of 0.2 m, the first reading is at a 0.3 m depth and the last at 4.5 m depth. This set-up allowed characterizing the vertical profile of E1's wake, which its centreline is at an approximate distance of 1.5 m from the free surface (i.e. approximately the rotor centre). A total of 8 complete sets of wake profiles were measured.

288

289 **4. Results**

290 **4.1. Deployment location**

A bathymetric map of the entire Ria Formosa has been built and is provided on the database 291 (http://w3.ualg.pt/~ampacheco/Score/database.html) as netcdf file using the high resolution 292 LiDAR bathymetry performed on 2011, coupled with bathymetric data from the Faro Port 293 Authority and with 2016' bathymetric surveys performed under the SCORE project. The 294 database also provides the mosaic from the side scan survey where main morphological 295 features can be distinguished (i.e. ripples and mega-ripples). An area of about 6 hectares was 296 surveyed using the side scan sonar technique, revealing a seabed mainly composed of sand, 297 coarse sediments and gravel with a high biogenic component (Figure 8). 298

Figure 9 shows the vertical profiles of the computed horizontal velocity magnitudes observed 299 for a 14 days interval using the Nortek AS Signature 1 MHz. It is evident the tidal current 300 asymmetry that takes place in the Faro-Olhão Inlet, with ebb currents being significantly 301 stronger than flood currents. This result is important since even modest tidal asymmetry can 302 cause large power asymmetry [12]. These velocity measurements allowed validating the 303 Delft3D model and to select the E1 deployment location (Figure 6). The red cross on Figure 6 304 marks the E1 deployment site which meets the velocity criteria (velocity range between 0.7 305 and 1.75 ms⁻¹) for around 21% of fortnight cycle. Subsequently, velocity measurements were 306 performed during a spring ebb tide at the deployment location with the ADP Sontek 1.5 kHz, 307 with bottom tracking. Figure 10A shows an example of a time-series contour map of the peak 308 ebb currents at the deployment site, permitting to identify the maximum tidal current velocities 309 that E1 could be exposed; while Figure 10B presents an estimation of the predicted power 310 output using Equation 1. Overall, velocity maximums exceeded the threshold value of 311 $\sim 1.75 \text{ ms}^{-1}$ at specific cells, reaching up to $\sim 1.96 \text{ ms}^{-1}$. However, the limit was not surpassed 312

when those cell velocities were averaged by the rotor diameter at time intervals of 5 s, resulting on a maximum averaged flow velocity of ~1.68 ms⁻¹. It can be observed that the rated E1 capacity is almost achieved at peak ebb (~1 kW, Figure 10B), whereas observed power fluctuations are related to turbulence and eddies propagation.

317

318 **4.2. Environmental site characterization**

Several limitations were identified in the methods tested. Bottom trawl showed handling 319 limitations in the turbine' deployment area and since this method needs a minimal operation 320 area it would not allow any impact zonation. Bottom trawl is also an extractive technique and 321 therefore not suitable to assess cumulative impacts over time with successive sampling in a 322 small area. Also, as the study area is located in a Natural Park the use of this method was 323 considered the least appropriate. However, the species list provided was the most 324 comprehensive of all methods tested. Thus, surveys were made just for the characterization of 325 the general study area, ensuring the existence of a reference base species list. 326

Species inventory from UVC accounted for 31 different species. The epibenthic invertebrate 327 and fish communities were composed of typical and frequent organisms in the soft substrates 328 of Ria Formosa, such as: Octopus vulgaris, Bugula neritina, Pomatoschistus microps, 329 Holothuria arguinensis, Alicia mirabilis, Sphaerechinus granularis or Trachinus draco. As 330 expected, strong currents made almost impossible the use of UVC through linear transects. 331 However, this was the only method that have detected a seahorse species that is a vulnerable 332 species. Therefore, random transects were done in the specific study area, mainly for species 333 inventory and collection and for ground-truthing ROV data. The strong currents also made 334 extremely difficult to be precise in the location of dredge's samples for the environmental 335 characterization. Later on, during the operational interval, the high hydrodynamism, the rough 336 bottom and the small area to be sampled implied the increase of deployments; given the low 337 efficiency of the technique in such conditions (2 out 3 deployments were rejected/invalid). 338 Furthermore, the analysis of the samples require several taxonomy expertise, which is more 339 time and money consuming. 340

Using the ROV in high current areas proved to be a difficult operation. To counteract this problem, the ROV was attached to a sledge and towed along the seabed. This would allow a better control while conducting linear transects and provided a stable platform for additional cameras to be attached. The advantages of ROV compared to regular video cameras are mainly

related with its dynamic operability namely the possibility of making adjustments in real time (zooming, changing angles and controlling the light intensity) and the main disadvantages are the initial investment in equipment and piloting skills. Due to this preliminary analysis, it was concluded that for the general environmental and impact assessment the use of ROV/video cameras in a sledge was the most appropriate technique because it was the most practical and non-destructive technique available.

A total of 640 images were annotated thoroughly for the presence and quantification of the 351 coverage of the seabed by B. neritina. Percent-cover of this species ranged from 0 to 39.7% 352 (mean: 7.8%) taking into account all images analysed. Mean values of seabed cover increased 353 similarly over time in both survey areas (Figure 11), with slight higher mean values taking 354 place in the turbine area. Percent-cover was found to be significantly different across time in 355 the turbine (Kruskal-Wallis ANOVA on Ranks: H = 169.253; P<0.001) and in the control 356 (Kruskal-Wallis ANOVA on Ranks: H = 199.645; P<0.001) areas. However, for both turbine 357 and control areas, the percent-cover of B. neritina 3 days before the deployment compared to 358 8 days after the turbine installation were not considered statistically different (Table 4). 359

The image analysis of the seabed showed an increase in the percent-cover of the bryozoan B. 360 neritina during the study period, from early June to early August. Studies suggest that the 361 temporal fluctuations in the abundance of these colonies is correlated with local weather [13]. 362 In Europe [14], and other locations [15, 16], colonies of *B. neritina* are most abundant in 363 months of warmer water temperature. In addition, under natural conditions, colonies tend to be 364 strongly aggregated, and juveniles settle near mature colonies [17]. The results on the 365 abundance of B. neritina agree to a moderate extent with the documented natural patterns. 366 Moreover, the increase in the percent-cover of B. neritina was identical in both control and 367 turbine areas suggesting that this pattern was not related with the presence of the tidal turbine, 368 but related to environmental factors. Results of the two-month monitoring period showed no 369 evidence of impact on the seabed that could be directly linked to the installation and operation 370 of the turbine. 371

An acoustic report with estimates of SPL over the entire acquisition period, mainly based on statistical indicators both for broadband SPL and frequency levels, is provided on the database together with time-series of sound pressure levels and frequency, prior to and during the deployment. From a basic frequency analysis over the entire recording time, it was apparent that the site characterized by two distinct periods over 24-hours intervals, where it was evident that periods of reduced boat traffic at night were interchanged with periods of busy boat traffic during the day (Figure 12A). By means of statistical processing over 1-hour periods, an interval
of idle regime (reduced boat traffic) and an interval of busy regime (heavy boat traffic) were
precisely established.

The discretization of idle and busy regimes allowed to access the contribution of the tidal turbine operation as a noise source. The site of deployment is close to a traffic route leaving or entering the RF system, and therefore idle and busy regimes were expected a priori to occur. Also, the area of deployment is an area subject to the intensification of water velocities through the fortnight tidal cycle. These two factors are prevailing to the variability observed in the noise level. It is clearly observed that the current speed induced a significant increase on the broadband noise level, especially when current speed peaked to maximum values.

Data collected during E1 operation revealed that the device has minimal potential to generate 388 noise and vibration and therefore does not cause disturbance to the environment. Figure 12B 389 shows a time-frequency representation obtained from the complementary data set recorded on 390 8th of November 2017, at a position of approximately 5 m upwards from E1, when the current 391 speed was peaking at $\sim 0.56 \text{ ms}^{-1}$. The result indicates that the turbine was radiating at least two 392 frequencies, 86 and 170 Hz, where the higher frequency might be a harmonic of the lower 393 frequency. The 170 Hz frequency shows an outstanding from neighbourhood frequencies of 394 about 10 dB, and the 86 Hz frequency shows an outstanding of 10 to 12 dB. Another harmonic 395 at about 340 Hz appears to be noticed. 396

397

398 **4.3. Device performance data**

During its operation lifetime, the device had to be pull out of water for maintenance three times 399 due to various failures that are reported in Table 5. Most of the failures occurred with the 400 logging system, which prevented a continuous data recording and were mainly related to the 401 magnitude of flow velocities during neap tides i.e. here was not enough flow for the turbine to 402 generate and feed voltage to the logger. Figure 13 exemplifies the data recorded by the E1 403 logger over a spring-neap tidal cycle. From top to bottom the following parameters are 404 presents: (i) drag force recorded by the two load cells; (ii) generated voltage (Volts); (iii) 405 generated amperage (amp); (iv) electrical output (Watts); (v) current speed (ms⁻¹); (vi) raw 406 power (Watts), i.e. $P = 0.5\rho A_T U_0^3$; and (vii) efficiency in power extraction (i.e. electrical 407 outputs divided by raw output). The shaft speed in rounds per minutes is also logged but the 408 data quality is not the expected, hence data is not presented. In general, and during the peak 409

currents of the spring tides, the shaft speed normally exceed 100 rpm; this values drops to 70 rpm for neap tides. The two load cells values are strongly modulated by the tidal stage. Over spring tides, the drag force can reach to 1 kN. The drag drops to ~0.5 kN during neap tidal ranges. The north mooring under tension during the ebb stage of the flow, which is normally characterized by stronger tidal currents, results in higher load cell values, both in terms of peak values and duration.

Computed thrust coefficients, C_T , are illustrated in Figure 14A. Mean computed values of C_T are 0.44 and 0.4 for load cell South and North, respectively. Larger variation of C_T values are observed for flow speeds below 0.5 ms⁻¹. When flow speed increases, C_T values converge to mean values. This phenomenon can be explained due to the fact that at higher flow velocities an onset of turbulence in the boundary layer decreases the overall drag of the device. The fitting of a quadratic drag law (Figure 14B) to the measured mooring lines tensions shows that a constant C_T of 0.4 provides a good agreement with the observed flow speeds.

- The electrical parameters voltage and amperage, as well as associated electrical output, are 423 strongly related with more than 100 W produced during spring tidal ranges (Figure 13). This 424 production quickly drops within a couple of days from the larger spring tides. For the rest of 425 the tidal cycles the electrical productions are less than 50 W, or even smaller at the neap cycles. 426 As expected, the associated tidal currents speed measured from the E1 Doppler sensor are 427 strongly associated with all the above parameters. In fact, and taking as example the electrical 428 output, it is observed that for velocities less than 1 ms⁻¹ the produced power drops by a factor 429 of 2. For the same time, the raw power was of the order of 1 kW over the most productive tide 430 phases, dropping to half when the peak tidal currents did not exceed 1 ms⁻¹. 431
- Regarding E1's operating efficiency, the recorded values during the deployment (Figure 13) 432 differ from the power curve provided by the manufacturer and calculated using a constant 433 power coefficient, $C_p = 28$ %, resulting in a $\eta C_p = 22$ % (Figure 15A) i.e. although the 434 maximum efficiencies observed are of 23 % at 0.8 ms⁻¹, slightly higher than the value of 22 % 435 specified in Equation 1 (i.e. ηC_p), average values are of ~9 %. Overall, efficiencies larger than 436 15% are observed at flow speeds below 1.1 ms⁻¹ (Figure 15B). Above this flow speed, 437 efficiency starts to drop to an average value of ~6%. For the highest flow speed, ~1.42 ms⁻¹, 438 efficiency is ~5.4%. These low efficiency values, and the tendency of efficiencies' decrease 439 with increasing flow speeds, can be related to the load control system of the generator and to 440 flow speed fluctuations. When switching in and out the resistors due to variations on flow 441

speeds causes abrupt oscillations on power output affecting the device' efficiency. It is important to remark that the power curve provided by the manufacturer is calculated assuming a constant power coefficient ($C_P = 28$ %), when usually power coefficients vary with flow speed.

446

447 **4.4. Wake measurements**

The 2D wake field was measured with the Sontek 1.5 kHz ADCP operated from moving the 448 boat along the deployment area (Figure 3). Since the rotor centre is about 1.5 m below water 449 lever, the rotor blades spins between approximately 0.75 until 2.25 m depth. The ADCP cells 450 within this range were vertically averaged to compute the wake effect of the E1. However, and 451 because of the restrictions imposed by the sampling rate and boat velocity, the spatial 452 distribution of the ADCP profiles were not optimal for a detail mapping of the wake. The 453 relative strong current velocities make difficult the boat' navigation resulting in a more random 454 distribution of the sampling points. In addition, the flow velocity near the E1 is also 455 characterised by turbulent flow. Those turbulences cannot be spatially and temporarily 456 averaged due to the sampling restrictions mentioned above. 457

During the peak of the flood currents, some wake patterns can be identified by combining the horizontal and the vertical velocities field, averaged over the vertical layers situated at the blade spinning area (Figure 16). There is evidences of an unsteady pattern on the horizontal components. Although is not a clear wake signature, the vertical component shows an increase of the l module at the expected wake positions, most likely caused by the blade rotation. It is also likely that the presence of horizontal eddies on the ambient flow are masking the wake signal.

Complementary, the static wake measurements along E1's wake centreline obtained with the 465 Nortek AS Signature 1 MHz ADCP for a full profile are presented on Figure 17A. Figure 17B 466 summarises the wake velocity deficits for all measured profiles (i.e. U/U_0 , relating flow 467 velocities with the presence of the turbine, U, and without turbine U_o) at the rotor horizontal 468 plane' height, for each E1' downstream location (i.e. 5 m, 10 m, 15 m, 20 m, 25 m and 30 m). 469 From Figure 17B, it can be seen how the wake re-energizes gradually downstream E1 and 470 recovers almost completely at a distance of 30 m (i.e. 20 rotor diameters). Immediately behind 471 E1, the wake's vertical distance matches the diameter of the turbine rotor (i.e. 1.5 m). The 472 distortion of the velocity profile caused by the wake expands progressively at each downstream 473

distance and the minimum flow velocities are found at deeper depths, until the velocity profile 474 recovers it normal shape. This wake recovery pattern is observed in all measured profiles 475 (Figure 17A). From the box plot (Figure 17B), it is observable that the velocity deficits varied 476 from 0.8 (first quartile) at 5 m to 0.97 (third quartile) at 30 m downstream. Median values of 477 velocity deficits increase with distance as wake recovers. At closer distances downstream E1, 478 it is sensed a larger deviation of velocity deficits. This can be related to the fact that, in the near 479 wake, velocity gradients are larger and its width is shorter than in the far wake. Thus, at these 480 locations, small changes in the lateral position of the ADCP produce larger variabilities on the 481 measured velocities. Wake measurements were only conducted during flood tide, so the wake 482 characterization did not account for any directional asymmetry between the flood and ebb 483 currents. 484

,

485

486 **5. Final remarks**

Prototype testing of TEC devices is an extremely important part of proving that they will 487 function in full-scale conditions; on the other hand, understanding their potential environmental 488 impacts is a key issue in gaining acceptance of new technologies. Currently little is known 489 about the environmental effects of TEC devices particularly when deployed in semi-closed 490 systems such as coastal lagoons and estuaries. Uncertainties associated with scaling up the 491 impacts from pilot scale to commercial scale are undocumented for floating tethered TEC. The 492 innovative aspect of E1 testing in Portugal laid with the unique morphological characteristics 493 associated with the device deployment site at RF, a coastal lagoon protected by a multi-inlet 494 barrier system. The E1' testing allowed the collection of a significant amount of data (Table 3) 495 that are now available for the science community. The paper also reports the problems 496 (Table 5) occurred during the device testing, essential to wider the understanding of the 497 challenges imposed by extracting energy at these locations and with these equipment. Some 498 key lessons were highlighted: 499

(1) The existence of data characterising environmental conditions prior to extraction of energy at any location is essential for cataloguing potential impacts of any marine renewable installation [18]. Primary concerns relating to TEC installations are interference with the local ecosystem during installation activities, the potential of the rotating blades to injure fish, diving birds and sea mammals and the loss of amenity i.e. habitat loss due to noise, fishing areas and navigation space for other users of the sea area [19-21]. No collisions or major interactions occurred with wildlife and mooring weight were rapidly colonized by the typical speciesnormally present in the area;

(2) The high energy environment coupled in a restricted work area, heavy chain moorings and a tidal turbine with rotating blades made the use of traditional biological sampling techniques a challenging task. Among the methods tested, the video sledge proved to be the most reliable to be used in these demanding environmental conditions. Complemented with visual census during neap tide, this method was considered the most consistent and replicable technique for the biological characterisation and the following monitoring period while device was operating;

(3) The results from the assessment of the soft sediment community in the study area during the monitoring period did not show signs of disturbance that could be directly linked with the presence of neither the turbine nor the mooring system used. The effect of mooring lines on the seabed is restricted to a few centimetres at both sides of the mooring lines;

(4) The species chosen as a bioindicator, B. neritina, despite being considered an invasive 518 species, has a wide distribution in the area of deployment and surrounding area, is a sessile 519 benthic organism and among the fauna present was the most common and conspicuous 520 organism. Their increase in abundance was more related with abiotic conditions during the 521 monitoring period rather than short-term probable impacts caused by the tidal turbine. Future 522 studies should take into account long term monitoring to provide a better overview of the 523 potential impact of this kind of structures. Since no evidence of impact related to the tidal 524 turbine was detected, it is not possible to infer about cumulative impacts caused by a network 525 of these type of structures; 526

(5) The background noise level was analysed by means of time-frequency representation, and 527 the investigation of the influence of the tide on the background noise was carried out using the 528 flow speed data. The results of the operational noise of the turbine were then compared to the 529 background noise level. During the peak of tidal current, for an interval of approximately 530 25 min, the turbine radiated a signal with a fundamental harmonic of approximately 86 Hz, 531 where up to three multiples (second to fourth harmonic) could be seen. The first and second 532 harmonics are relatively energetic, with an outstanding of 10 dB above background noise. The 533 amount of acoustic energy introduced into the aquatic environment is limited in frequency band 534 and time. Yet, further analysis is required to conclude on the acoustic impact in the surrounding 535 area and how it would extrapolate if an array of floatable TECs in real-scale were to be 536 deployed; 537

(6) Floatable devices have advantages on reducing physical environmental impacts. Because they extract energy from the top surface, they cause less impact on both flow and bed properties. Overall, the physical environmental impact from E1 small-scale TEC pilot project was found to be reversible on decommissioning, especially because the chosen area is characterized by a high current flow that already causes natural disturbances to the bed. No record of any change on the bed related with alteration of either flow or sediment transport patterns;

(7) Floatable devices are tethered to the seabed and under direct impact of waves and surface wind, causing a range of different problems and new challenges to successful extract energy. The exact calculation of mooring loads using safety factors was essential to the success of the deployment. However, the miscalculation of the exact location of one of the mooring weights caused over tension on one of the mooring lines, which interfered with the reposition of the device when turning until the tension was corrected by lengthening the mooring line;

(8) The flow field around turbines is extremely complex. Variables such as inflow velocity, 551 turbulence intensity, rotor thrust, support structure and the proximity of the bed and free surface 552 all influence the flow profile. The majority of flow field studies around tidal turbines have been 553 carried out in laboratories [22-24] i.e. in the few cases that devices have been deployed and 554 monitored data are highly commercially sensitive and not distributed to the public and research 555 community [25, 26]. A full characterisation of the 3D flow patterns was performed using 556 ADCPs (moored and boat-mounted surveys). The data collected allowed validating a numerical 557 modelling platform, essential to accurate positioning the device based on the 558 environment/device constraints, mainly in which concerns cut-in/cut-off velocities and 559 deployment depth. The static measurements performed during device operation were effective 560 on characterizing the wake at different distances from the device and represent a valid data set 561 for wake modelling validation; 562

(9) E1 proved to be easy to disconnect from the moorings and it transport inshore for maintenance and repair was relatively straightforward. This is an important aspect, since installation/maintenance costs represent a major drawback of TEC technologies for future investors. Biofouling can be a major issue affecting performance of devices operating in highly productive ecological regions like RF. Therefore, maintenance operations need to be planned in advance to control the lifespan of antifouling coatings, especially on the leading edge of blades. Another important aspect is to provide on-site access to the power supply batteries, this

way there is no need to take the device onshore for maintenance of internal batteries, which
translates in reducing equipment downtime and maintenance costs;

(10) Model data is essential for future planning and testing floating TEC prototypes on other locations by providing values of turbine drag, power coefficients and power outputs for different flow conditions and operating settings [27]. Mooring loads and flow speeds data allowed to calculate time-series of E1 drag coefficient. By fitting a quadratic drag law a constant drag coefficient of 0.4 was obtained for flow speeds up to 1.4 ms⁻¹. In order to confirm this estimation it will be necessary to measure mooring tension loads at higher flow speeds;

(11) The operational data collected during the operational stage allowed the monitoring of 578 device performance and serve as basis for developing advanced power control algorithms to 579 optimise energy extraction under turbulent flows. The measured energy extraction efficiency 580 and mooring loads of the operational prototype can now be compared against numerical models 581 in order to validate these tools. Time series of measured efficiency revealed an overall 582 underperformance of E1 respect to its power curve estimations with values of ηC_P below 20% 583 most of the time. Further research has to be conducted to accurately identify the causes of low 584 efficiencies and determine if the problem is related with mechanical, electrical and/or generator 585 losses. A preliminary diagnose points to the generator's resistors control strategy, which needs 586 to be optimised to increase electrical power outputs when operating in turbulent flows; 587

(12) Efficiency data obtained with E1 prototype can be scale up for proposing realistic tidal 588 array configurations for floating tidal turbines and on supporting the modelling of mooring and 589 power export cabling systems for these arrays. Those validated modelling tools can then be 590 used for performing simulations using different hydrodynamic settings and number of 591 prototype units in different tidal stream environments. By incorporating single devices and 592 593 multiple array devices on the modelling domain it will enable energy suppliers to gain a realistic evaluation of the supply potential of tidal energy from a specified site. As an example, 594 drag forces measured by the load cells can help on avoiding over engineering and on 595 developing alternative tension-tethered mooring solutions to allow closer spacing of turbines 596 (i.e. reduce project costs and smaller array footprint); 597

(13) Finally, Ria Formosa is an ideal place for testing floatable TEC prototypes, and can be used as representative of the vast majority of coastal areas where TECs can be used in the future. In particular, the selected test site, Faro Channel, is an attractive case study for

implementing TECs because is characterised by strong currents. The channel is also located
 between two barrier islands and can be easily connected to the national grid system.

603

604 ACKNOWLEDGEMENTS

605 The paper is a contribution to the SCORE project, funded by the Portuguese Foundation for Science and Technology (FCT – PTDC/AAG-TEC/1710/2014). André Pacheco was supported 606 by the Portuguese Foundation for Science and Technology under the Portuguese Researchers' 607 Programme 2014 entitled "Exploring new concepts for extracting energy from tides" 608 (IF/00286/2014/CP1234). Eduardo G-Gorbeña has received funding for the OpTiCA project 609 from the Marie Skłodowska-Curie Actions of the European Union's H2020-MSCA-IF-EF-RI-610 2016 / under REA grant agreement n° [748747]. The authors would like to thank to the 611 Portuguese Maritime Authorities and Sofareia SA for their help on the deployment. 612

614 **References**

- [1] SI Ocean (2014). Wave and Tidal Energy Strategic Technology Agenda, 42p.
- [2] Salles, P., Voulgaris, G., Aubrey, D. (2005). Contribution of nonlinear mechanisms in the

persistence of multiple tidal inlet systems. Estuar. Coast. Shelf. Sci. 65: 475–491 (doi:

- 618 10.1016/j.ecss.2005.06.018).
- [3] Newton, A., Mudge, S.M. (2003). Temperature and salinity regimes in a shallow, mesotidal
- lagoon, the Ria Formosa, Portugal. Estuar. Coast. Shelf. Sci. 57: 73 85 (doi: 10.1016/S0272-
- 621 7714(02)00332-3).
- [4] Pacheco, A., Ferreira, Ó., Williams, J.J., Garel, E., Vila-Concejo, A., Dias, A. (2010).
- Hydrodynamics and Equilibrium of a Multiple-Inlet System. Mar. Geol 274: 32–42 (doi:
 10.1016/j.margeo.2010.03.003).
- [5] Pacheco A, Ferreira Ó, Carballo R, Iglesias G. (2014). Evaluation of the tidal stream energy
- production at an inlet channel coupling field data and modelling. Energy 71: 104-117 (doi:
 10.1016/j.energy.2014.04.075).
- [6] Mackie, G. (2008). Development of Evopod tidal stream turbine. In Proc. Int. Conference
 on Marine Renewable Energy. The Royal Institute of Naval Architects, London, pp. 9–17.
- [7] Gorbeña, E., Pacheco, A., Plomaritis, T., Sequeira, C. (2017). Assessing the Effects of Tidal
- 631 Energy Converter Arrays on Hydrodynamics of Ria Formosa (Portugal). 12th European Wave
- and Tidal Energy Conference, Cork, Ireland, 27/08 01/09.
- [8] APA, (2016). Agência Portuguesa do Ambiente Protocolo de monitorização e
 processamento laboratorial. 4pp (in Portuguese).
- [9] Carré, C. (2010). COVER–customizable observation video image record. User manual
 v0.8.4. IFREMER, 23 December 2010.
- [10] Schneider C.A., Rasband W.S., Eliceiri K.W. (2012). "NIH Image to ImageJ: 25 years of
- 638 image analysis", Nature Methods, 671 pp.
- [11] Borja, A., Franco, J., Perez, V. (2000). A Marine Biotic Index to Establish the Ecological
- 640 Quality of Soft-Bottom Benthos within European Estuarine and Coastal Environments. Mar.
- 641 Pollut. Bull. 40, 12: 1100-1114 (doi: 10.1016/S0025-326X(00)00061-8).

- [12] Neill, S.P., Hashemi, M.R., Lewis, M.J. (2014). The role of tidal asymmetry in
 characterizing the tidal energy resource of Orkney. Renew Energy 68: 337-350 (doi:
 10.1016/j.renene.2014.01.052).
- [13] Keough, M. J., & Chernoff, H. (1987). Dispersal and population variation in the bryozoan *Bugula neritina*. Ecology 68(1): 199-210 (doi: 10.2307/1938820).
- [14] Ryland J.S. (1965). Polyzoa. Catalogue of main marine fouling organisms (found on ships
- coming into European waters). Volume 2. Organisation for Economic Co-operation and
 Development, Paris, France, 84 pp.
- [15] Russ, G. R. (1977). A comparison of the marine fouling occurring at the two principal
 Australian naval dockyards (No. MRL-R-688). Materials research labs ascot vale (Australia).
- [16] Sutherland, J. P., Karlson, R. H. (1977). Development and stability of the fouling
 community at Beaufort, North Carolina. Ecol. Monogr. 47(4): 425-446
 (doi: 10.2307/1942176).
- [17] Keough, M. J. (1989). Dispersal of the bryozoan *Bugula neritina* and effects of adults on
 newly metamorphosed juveniles. Mar. Ecol. Prog. Ser. 57: 163-171
 (doi: 10.3354/meps057163).
- [18] International Electrotechnical Commission (2015). Marine energy Wave, tidal and other
 water current converters Part 201: Tidal energy resource assessment and characterization, IEC
 TS 62600-201:2015. (ISBN 978-2-8322-2591-2).
- [19] Denny E (2009). The economics of tidal energy. Energy Policy 37: 1914-1924
 (doi: 10.1016/j.renene.2014.11.079).
- [20] Neill SP, Litt EJ, Couch SJ, Davis AG. (2009). The impact of tidal stream turbines on
 large-scale sediment dynamics. Renew. Energy 34: 2803-2812
 (doi: 10.1016/j.renene.2009.06.015).
- [21] Waggitt JJ, Bell PS, Scott BE. (2014). An evaluation of the use of shore-based surveys for
 estimating spatial overlap between deep-diving seabirds and tidal stream turbines. Int. J. Mar.
 Energy 8: 36-49 (doi: 10.1016/j.ijome.2014.10.004).
- [22] Martin-Short R, Hill J, Kramer SC, Avdis A, Allison PA, Piggott MD. (2015). Tidal
 resource extraction in the Pentland Firth, UK: Potential impacts on flow regime and sediment

- transport in the Inner Sound of Stroma. Renew. Energy 76: 596-607
 (doi: 10.1016/j.renene.2014.11.079).
- [23] Jeffcoate P, Whittaker T, Elsaesser, B. (2016). Field tests of multiple 1/10th scale tidal
 turbine devices in steady flows. J. Renew. Energy 87(1): 240-252
 (doi: 10.1016/j.renene.2015.10.004).
- [24] Jeffcoate P, Elsaesser B, Whittaker T, Boake C (2014). Testing Tidal Turbines Part 1:
- 677 Steady Towing Tests vs. Moored Tidal Tests. ASRANet International Conference on Offshore
- 678 Renewable Energy.
- [25] Edmunds M, Malki R, Williams AJ, Masters I, Croft TN. (2014). Aspects of Tidal Stream
- ⁶⁸⁰ Turbine Modelling in the Natural Environment Using a Coupled BEM-CFD Model. Int. J. Mar.
- 681 Energy 7: 20-42 (doi:10.1016/j.ijome.2014.07.001).

- [26] Osalusi E, Side J, Harris R. (2009). Structure of turbulent flow in EMEC's tidal energy
 test site. Int. Comm. Heat Mass Transfer 36(5): 422-431
 (doi:10.1016/j.icheatmasstransfer.2009.02.010).
- [27] Pacheco, A., Ferreira, Ó. (2016). Hydrodynamic changes imposed by tidal energy
 converters on extracting energy on a real case scenario. Applied Energy 180: 369-385
 (doi: 10.1016/j.apenergy.2016.07.132).
- 688

689

FIGURE 1

691





Figure 1. Deployment site adjacent to Faro-Olhão Inlet (A), the Faro Channel of Ria Formosa lagoon system (Algarve, Portugal), where E1 Evopod (B) operated. The channel is generally oriented NW–SE, has a length of 9 km, and covers an area of 337 km². The channel width is not constant, ranging from ~175 m to a maximum of ~625 m. The typical maximum depths along the channel range between 6 and 18 m (below mean sea level).

698

FIGURE 2



702

700

701

Figure 2. (A) Scheme of E1 with the mooring lines spreading from the mid-water buoy; (B) inside components connect to the squirrel logger; (C) detail of the deck with the solar panels and control box; (D) E1 launch on the water and (E) it trawl to the deployment site.

706

FIGURE 3



709

Figure 3. (A) Scheme of the deployment site, with the mooring locations and line spreading. Also represented are 710

the bathymetry lines 10 m spaced, the wake perpendicular lines and wake central line where bottom-tracking 711

ADCP and static measurements were performed, respectively; (B) Deployment area represented over an oblique 712 image of Faro-Olhão Inlet; (C) mooring scheme and material used on the deployment (e.g. anchoring weight, 713

chains, marking buoys, cable wire, etc). 714

S

715

FIGURE 4



- **Figure 4.** (A) Detail of the load cells and its placing on the mooring lines; (B) Deployment day and boats used on the mooring operation; (C) E1 deployed on 8th June 2017.

C

FIGURE 5

724



- 725
- Figure 5. (A) Bathymetric survey using a RTK-DGPS synchronized with the single beam echo-sounder; (B)
- Characterization of the 3D flow pattern using boat mounted (with bottom tracking) and bottom mounted ADCPs;
 (C) Acoustic measurements with a hydrophone bottom mounted; and (D) ROV videos a for habitat characterization.
- 730
- 731

- **Figure 6.** Percent of time during a 14 period simulation with occurrence of tidal currents for the Faro-Olhão Inlet
- area: A) with velocities stronger than 0.7 ms^{-1} , and B) with velocities stronger than 0.7 ms^{-1} and lower than 1.75
- 738 ms⁻¹.

30

739

732

FIGURE 7

741

Figure 7. Location of the ROV transects carried out in the survey areas during each tidal regime.

745

FIGURE 8

Figure 8. Side scan sonar mosaic of the study area.

FIGURE 9

- Figure 9. Time-series of computed horizontal velocity magnitudes at each cell collected with the Nortek AS Signature 1Mz.

Figure 10. (A) Peak ebb current velocities measured at E1 deployment site. Each profile corresponds to an
 ensemble collected with the Sontek ADCP 1.5kHz with bottom tracking at a 5 s interval; (B) estimated electrical
 power output for E1 based on the ADCP measurements for a flood-ebb spring-tide (red line).

FIGURE 11

Figure 11. Mean values (\pm standard deviation) of the percent-cover of *Bugula neritina* in both areas surveyed during the study period. T-3: 3 days before to the deployment; T+ 8, T+15 and T+63: 8, 15 and 63 days after the turbine had been installed.

778

Figure 12. (A) Time-frequency analysis of the time series collected from 26th January to 1st of February 2017 by 779 means of an autonomous hydrophone mounted on a tripod (only the 2nd half is shown). The analysis has been performed using observation windows of 4096 samples (≈ 0.077 s) which have been averaged to 90 s using the 780

781

- Welch method; (B) Time-frequency analysis data collected at time 15:12 at 8th November 2017 by means of an 782 autonomous hydrophone operated from a boat. The analysis has been performed using observation windows of
- 783 784 16384 samples (≈ 0.311 s).

Figure 13. Time series of E1 parameters during a spring-neap tidal cycle. From top to bottom the panels present:
(i) drag forces recorded by the load cell; (ii) generated voltage; (iii) generated amperage; (iv) electrical output; (v)
current speed; (vi) raw power and (vii) E1 efficiency.

Figure 14. (A) Computed C_T for both load cells placed at E1 moorings; (B) observed tension forces for both load cells and fitted quadratic drag law.

FIGURE 15

Figure 15. (A) Comparison between E1' electrical power curve and the observed electrical power outputs; (B) Observed efficiencies, ηC_P , of E1 at various flow speeds.

Figure 16. Example of the 2D wake profiles (e.g. 0.75-2.25 m from surface) measured with the Sontek 1 MHz during peak flood: (A) A snapshot of the current horizontal velocities at the deployment area (black rectangle) where it can be observed a complex unsteady flow field; and (B) vertical flow velocities showing an increase of the turbulence at the expected wake location. E1 position is marked with a white cross and the four buoys delimiting the area are presented with ta white dot. Note that the colour bar has different scale in the two plots.

820

821

812

FIGURE 17

TABLE 1

Table 1. Evopod key parameters (adapted from Mackie [6])

	Full scale (Pentland Firth)	1:10 th scale (Stranford Narrow IRL / Ria Formosa PT)	1:40 th scale (Newcastle University test tank, UK)
Length overall (m)	21.5	2.15	0.538
Breadth across struts (m)	13.7	1.37	0.343
Displacement (t)	375,000	375	5.86
Turbine diameter (m)	15	1.5	0.375
Rated output (kW)	1800	0.57	0.004
Rated flow speed (ms ⁻¹)	4.0	1.26	0.63
Average operating sea state	$H_s = 3 m$	$H_{s} = 0.3 m$	$H_s = 0.0075 m$
	$T_z = 8 s$	$T_z = 2.5 s$	$T_z = 1.26 s$
Survival sea state	$H_s = 14 \text{ m}$	$H_{s} = 1.4 m$	$H_s = 0.35 \text{ m}$
	$T_z = 14 s$	$T_z = 4.43 s$	$T_z = 2.21 s$

837

TABLE 2

838

Table 2. Tidal stream, wind and wave characteristics used in mooring design. Wave and wind data used on the
 computations were obtained from the wave buoy offshore Faro-Olhão Inlet and the meteorological weather station
 of Faro International Airport, respectively.

Predicted spring tide peak flow	1.5 ms ⁻¹
Percentage time flow exceeds 0.7 ms ⁻¹	20 %
Percentage time flow exceeds 1.75 ms ⁻¹	0 %
Estimated wind induced surface current	0.2 ms ⁻¹
Extreme current speed for mooring design	1.7 ms ⁻¹
Wind Direction	NE or NW
Wind Speed	35 kmhr ⁻¹ (9.7 ms ⁻¹ or 18.8 knots)
Fetch	4 km (2.2 nautical miles)
Significant wave height H _s	0.45 m
Significant wave period $T_{1/3}$	2.6 s
Mean zero up-crossing period T _z	2.4 s

843

TABLE 3

844

Table 3. SCORE database following the European Marine Energy Centre (EMEC) guidelines.

Type of data	Date of	Method used	Coverage /	Data format /
Bathymatry	2011	Lidar	Resolution Ria Formosa / 10 m	Availability Netcdf file / under
	2011	Liudi	Kia Politilosa / 10 III	request
Bathymetry	2015	Single beam echosounder syncronized with a RTK- DGPS / tide corrected	Faro Channel /	Netcdf file / open source
Bathymetry	07/2016	Single beam echosounder syncronized with a RTK- DGPS / tide corrected	Deployment area / lines spaced every 10 m and depths collected at each 1 sec	Netcdf file / open source
Side scan sonar	07/2016	Transects performed with a bed imaging system to characterise the bottom of the deployment area in terms of materials and the texture type.	Deployment area	Mosaic image Netcdf file / open source
Bed characterization	07/2016 – 07/2017	Van Veen dredge operated from the boat. Samples were sieved and benthos organisms, conserved in 98% alcohol for taxonomic identification and counting.	Deployment area / updrift and downdrift of the E1 point location	Pdf document and an excel data file with identified and quantified organisms typical / frequent in the Ria Formosa, as well sediment properties characterization Netcdf file / open source
Habitat characterisation	07/2016 07/2017	Bottom trawling, visual census and ROV images to capture, identify and quantify fish species, invertebrates, and epithelial or benthic species on mobile substrate	Deployment area / updrift and downdrift of the E1 point location	Pdf document and an excel data file with identified and quantified organisms typical / frequent in the Ria Formosa Netcdf file / open source
Tidal currents	03/11/2016 - 17/11/2016	ADP Nortek Signature 1 MHz - bottom mounted on a frame structure, up looking	Deployment area, 8m depth Avg. Interval: 1min Measur. Int.: 5 min Cell size: 0.2 m Start profile: 0.2m End profile: 8m Coordinate System: ENU	For each cell: time (UTC); ENU velocities; standard deviation in the three directions; signal to noise ratio (SNR) for the three directions; temperature; pressure. Netcdf file / open source

Type of data	Date of	Method used	Coverage / Resolution	Data format /
Tidal currents	Survey	ADP Sontek 1.5kHz Static survey, down looking	Deployment point, 8m depth Full tidal cycle Avg. Interval: 5 sec Measur. Int.: 5 sec Cell size: 0.5 m Start profile: 0.7 m End profile: 8 m Coordinate System: ENU	For each cell: time (UTC); ENU velocities; standard deviation in the three directions; signal to noise ratio (SNR) for the three directions; temperature; pressure, Netcdf file / open source
Acoustic measurements	19/01/2017 – 14/02/2017	DigitalHyd SR-1	Deployment area, 11m depth Sampling rate: 52734 sps Amplitude resol.: 24 bits Avg. Interval: 90s Measur. Int: 10min	Time-series of sound pressure levels (dB) and frequency (kHz) Netcdf file / open source
Wake measurements	?/11/2017	ADP Nortek Signature 1 MHz Static E1 centreline profiles at: 5 m up-stream, and 5 m, 10 m; 15 m, 20 m, 25 m, and 30 m down-stream. down looking	Boat operated Avg. Interval: 5 sec Measur. Int.: 5 sec Cell size: 0.2 m Start profile: 0.2m End profile: 8m Coordinate System: ENU	For each cell: time (UTC); ENU velocities; standard deviation in the three directions; signal to noise ratio (SNR) for the three directions; temperature; pressure. Netcdf file / open source
Wake measurements		ADP Sontek 1.5kHz Transect survey, E1 transversal profiles 5m spaced within the deployment area. down looking	Boat operated Avg. Interval: 5 sec Measur. Int.: 5 sec Cell size: 0.5 m Start profile: 0.7 m End profile: 8 m Coordinate System: ENU	For each cell: time (UTC); ENU velocities; standard deviation in the three directions; signal to noise ratio (SNR) for the three directions; temperature; pressure. Netcdf file / open source
Turbine performance data	08/06/2017 – 21/11/2017	Evopod E1 data collection	Deployment point, 8m depth Logging values every 10s	Shaft speed (RPM), load cells (kN), generate voltage (volts), generate amperage (amps), input velocity (ms ⁻¹), electrical output (W), raw power (W) Netcdf file / open source

847	Table 3 (cont). SCORE	database following	g the European	Marine Energy	Centre (EMEC) guidelines
-----	-----------------------	--------------------	----------------	---------------	--------------------------

849

TABLE 4

850

Table 4. Pairwise Multiple Comparison Procedures (Tukey Test) of the percent-cover of the arborescent bryozoan *Bugula neritina* observed in the study areas in the four surveyed periods. T-3: 3 days before to the deployment;

T + 8, T + 15 and T + 63: 8, 15 and 63 days after the turbine had been installed. T + 8, T + 15 and T + 63: 8, 15 and 63 days after the turbine had been installed.

200

Turbine Control Time Diff of q **Diff of Ranks** q Ranks T+63, T-3 20.882* 14120 17.063* 12967 T+63, T+8 14988 18.112* 11707.5 14.148* 7052.5 8.522* T+63, 6889 16.624* T+15 14.667* 7067.5 8.541* T+15, T-3 6078 T+15, T+8 8099 13.043* 4655 5.625* T+8, T-3 2021 4.877 2412.5 2.915 * P<0.05

855 856

TABLE 5

Table 5. Issues, problems, consequences and actions during E1 deployment and operational period

Date	Issue	Problem	Consequence	Action
07/06/2017	Mooring	False tension	Loss of drag data	Boat was already
07/00/2017	tension load	readings were	Three load cells need a	commissioned and SCORE
	cells	recorded at three	total rebuild with new	team decided to deploy E1
		of four load cells	electronics	anyway and removed it one
		before		month later to repair load cells
		deployment		-
08/06/2017	Deployment	n/a	n/a	Successful
08/06/2017	GSM	Communication	Impossible to download	Plan extra visits to the E1 to
	Modem	failure	data remotely	download data via USB cable
14/06/2017	Battery	Battery failure	Navigation light failed	New disposal navigation light
			due to lower voltage,	was added. New batteries and
			Battery failed to charge	new charge controller was
			the logger and El	ordered.
18/06/2017	E1	E1 kool while	Beter continued to	The diver removed the over
18/00/2017	E1 underwater	rotating caught	rotate causing a sink	tension of the mooring line on
	underwater	the SE mooring	force that pulled E1	the keel E1 had to be removed
		line that was over	underwater: water	from site and towed back to
		tensioned	penetrated on the solar	shore for maintenance.
			panel connectors that	
			were not proper sealed	
26/06/2017	Recovered	n/a	n/a	Successful
13/07/2017	Deployment	Three load cells	Loss of drag data.	The solar connectors were
		need a total	Three load cells need a	fixed. Extra mooring chain and
		rebuild with new	total rebuild with new	clump weights added to avoid
		electronics	electronics. Sent to	any EI rotation problem; Place
			factory for repairing.	two North moorings to get
				tension measurements at the
				stronger ebb current
22/07/2017	Logger	The logger was	Sampling at 1Hz,	Planned data retrieval every 20
	22	set up in	Squirrel Logger has	days
	(overwrite oldest	enough memory for 28	
		readings mode	days. After that starts to	
			overwrite its stored	
03/08/2017	Logger	At neap tides not	Loss of data. Battery	Plan the recovery to fit
		enough flow for	voltage fell below 5.5V	additional solar panels; add a
		the turbine to	at which point the	top box at the deck with 2 new load and $12N/(5 \text{ Ab bettering})$
		voltage to the	shut down	lead actu 12 v / SAn balleries
	Y	logger	shut down.	connected to the hiside ones
22/08/2017	Recovered		n/a	Successful
	Compass	Compass failure	No compass data	Refit compass failed
	Mooring	Pot new load	Connect up new load	Two new cells added to be
	tension load	cells	cells and test with hang	placed on N and S moorings,
	cells		off weights	respectively
	Battery	Not enough	Fit battery box to top	More battery power and
		power on neap	deck and connect into	capacity to charge with the
		tides to charge	existing wiring; add	additional solar panels
22/00/2017	Danlaumant	the batteries	extra solar panels	Successful
22/09/2017	Battery	II/a Not enough	II/a Intermittent data	Increase extra visits to the site
	Dattery	not chough	collection Gans on the	to charge and replace batteries
		Pointer on neup	time-series	and maintain

tides to charge the batteries					
	21/11/2017	Recovered	n/a	n/a	Successful

